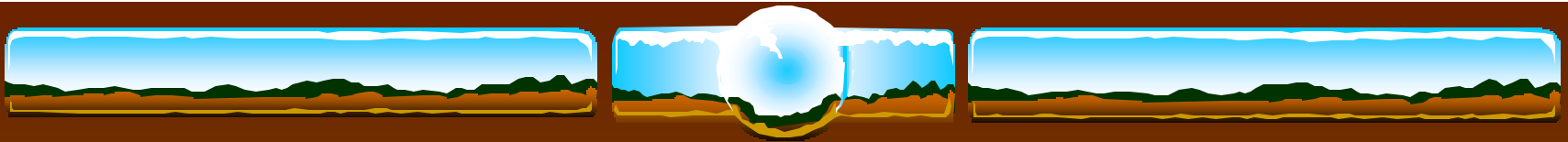


# 3G CDMA – WCDMA and cdma2000

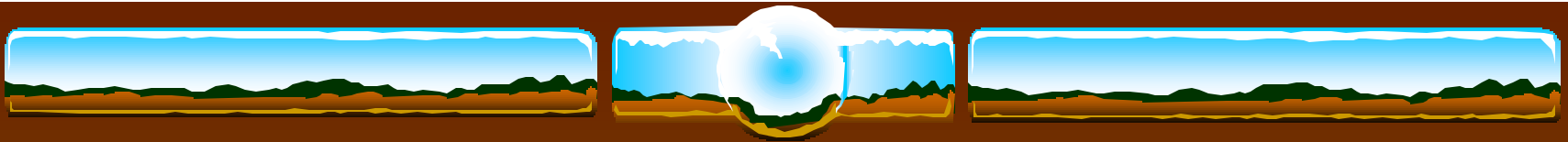
Rodger E. Ziemer

IEEE Communications Society  
Distinguished Lecturer Program



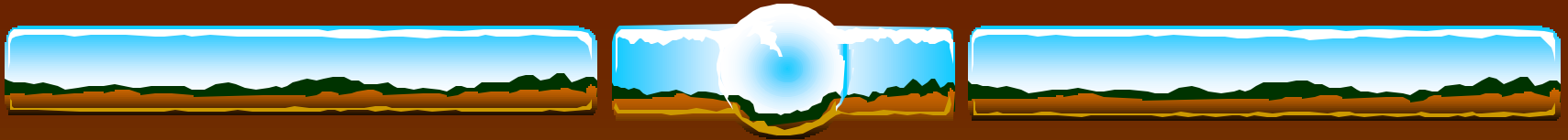
# Outline

- ❖ Multiple access/channel measurement guidelines
- ❖ Current 1G and 2G technology
- ❖ What is Third Generation?
- ❖ WCDMA features
- ❖ cdma2000 features
- ❖ WCDMA and cdma2000 contrasted
- ❖ Summary



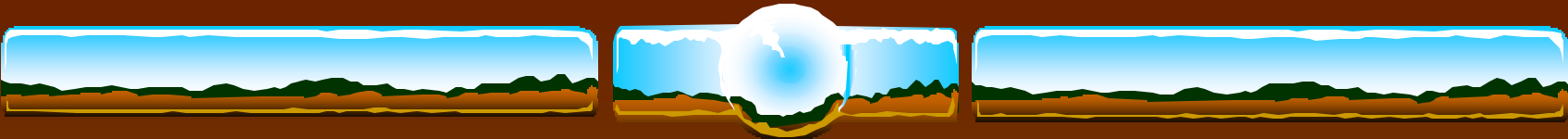
# Rules for Efficient Multiple Access

- ❖ Three immutable laws
  - ❖ Know the channel
  - ❖ Minimize interference to others
  - ❖ Mitigate interference received from others
- ❖ Requirements of wireless multiple access
  - ❖ Channel measurement
  - ❖ Channel control and modification
  - ❖ Multiple user channel isolation



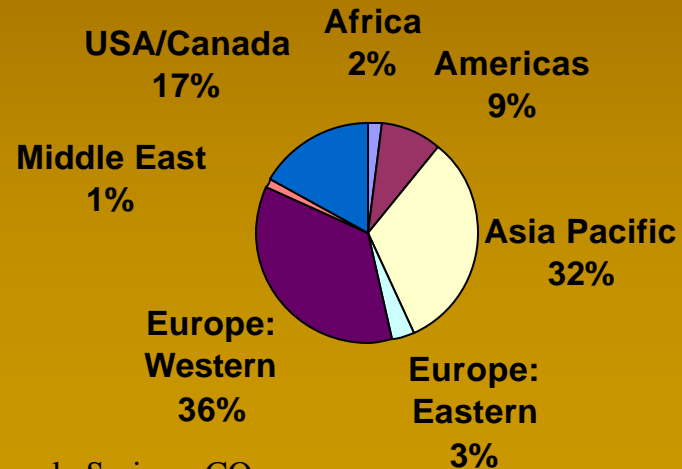
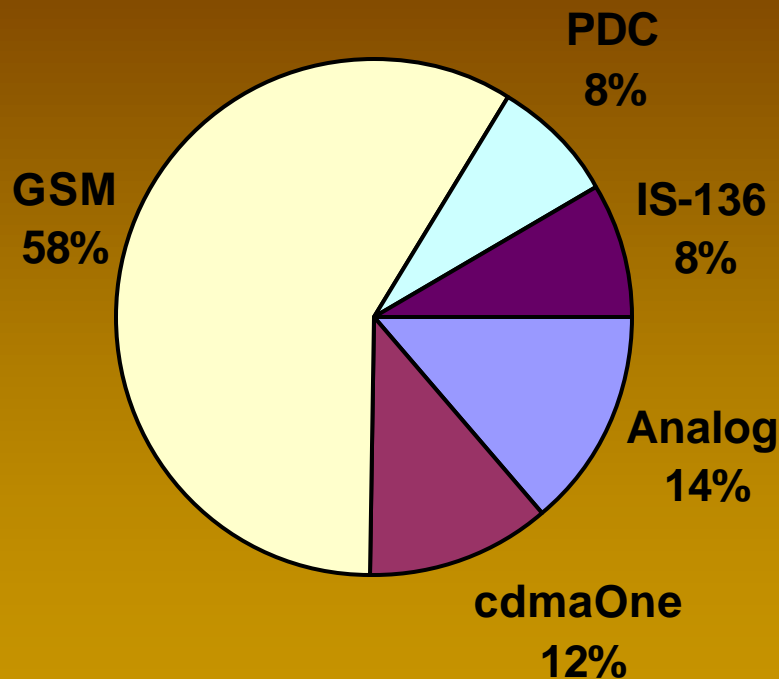
# Channel Measurement Guidelines

- ❖ Wider the bandwidth = better the measurement
  - ❖ Limitations on this rule
    - ❖ Regulatory (indoor path isolation = 10 ns delay resolution)
    - ❖ Complexity (combining more paths = more hardware)
    - ❖ Physical limitations (splitting energy over more paths = increasingly inaccurate parameter measurements)
- ❖ Measure at frequency  $>$  rate of change of channel
- ❖ No other users: good receiver attempts to put together all received multipath components coherently
- ❖ Other users present: Optimum = multiuser detection; suboptimum = power control; minimize near-far problem



# Current 1G and 2G Technology

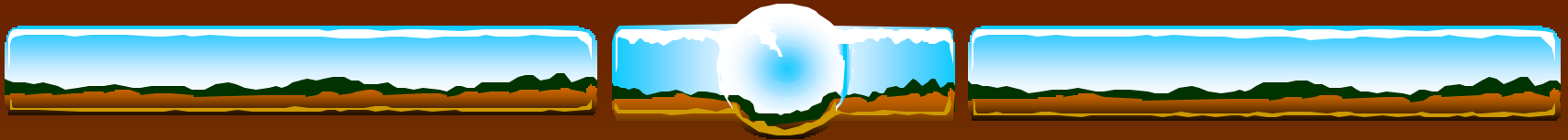
System	Jun-00
Analog	78,339,980
cdmaOne	67,964,980
GSM	337,794,500
PDC	47,739,500
IS-136	48,079,830



Source: EMC World Cellular Database

May 28-June 1, 2001

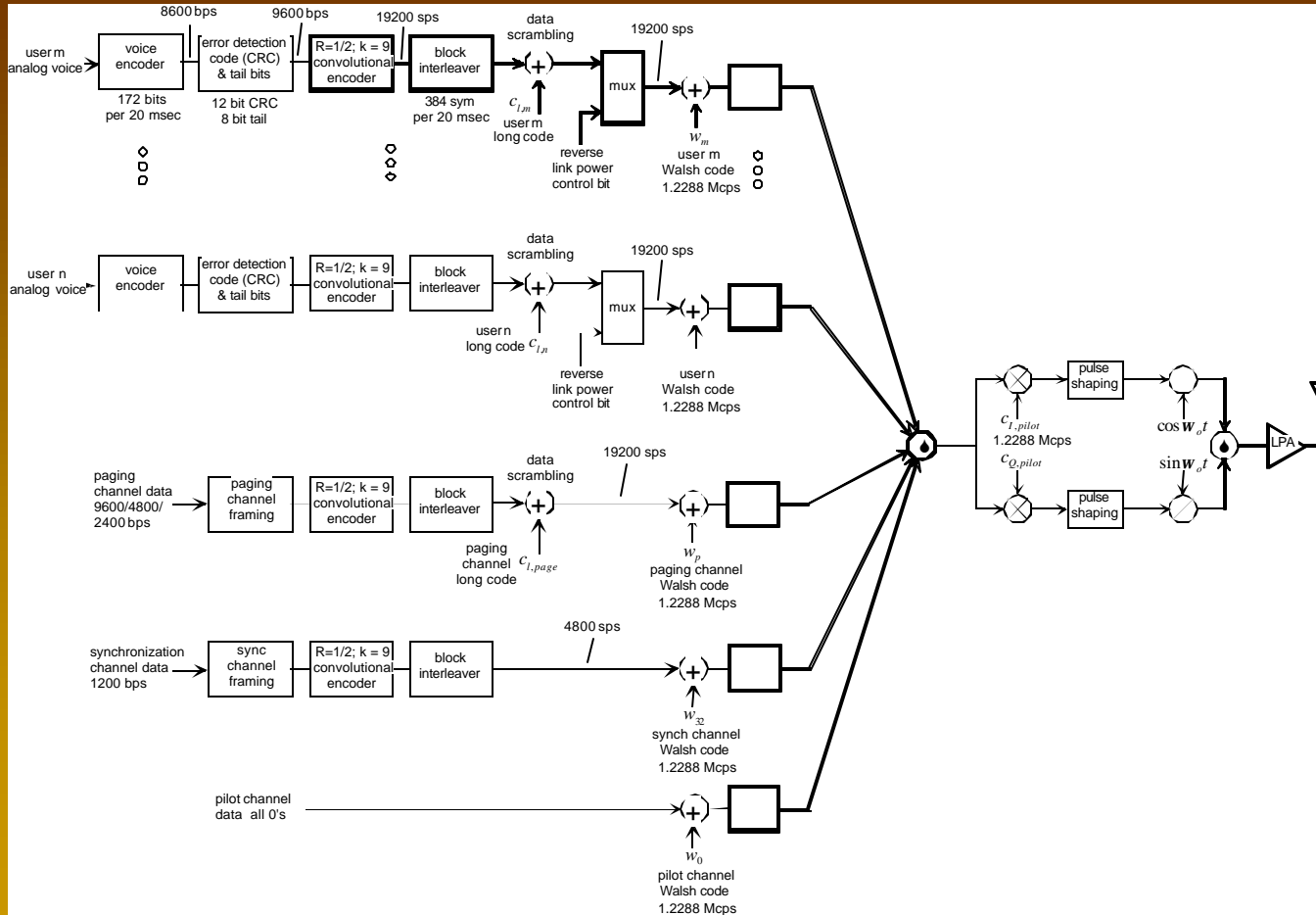
R. Z. Ziemer, Colorado Springs, CO

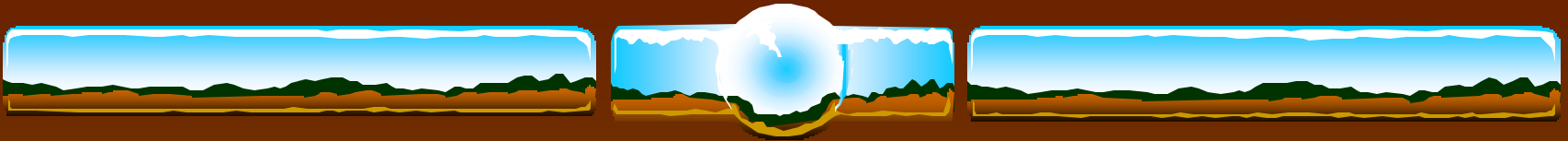


# Why CDMA?

- ❖ Higher capacity
- ❖ Improved performance in multipath by diversity
- ❖ Lower mobile transmit power = longer battery life
  - ❖ Power control
  - ❖ Variable transmission rate with voice activity detection
- ❖ Allows soft handoff
- ❖ Sectorization gain
- ❖ High peak data rates can be accommodated
- ❖ Combats other-user interference = lower reuse factors

# IS-95 Forward Link Xmtr Diagram [5]



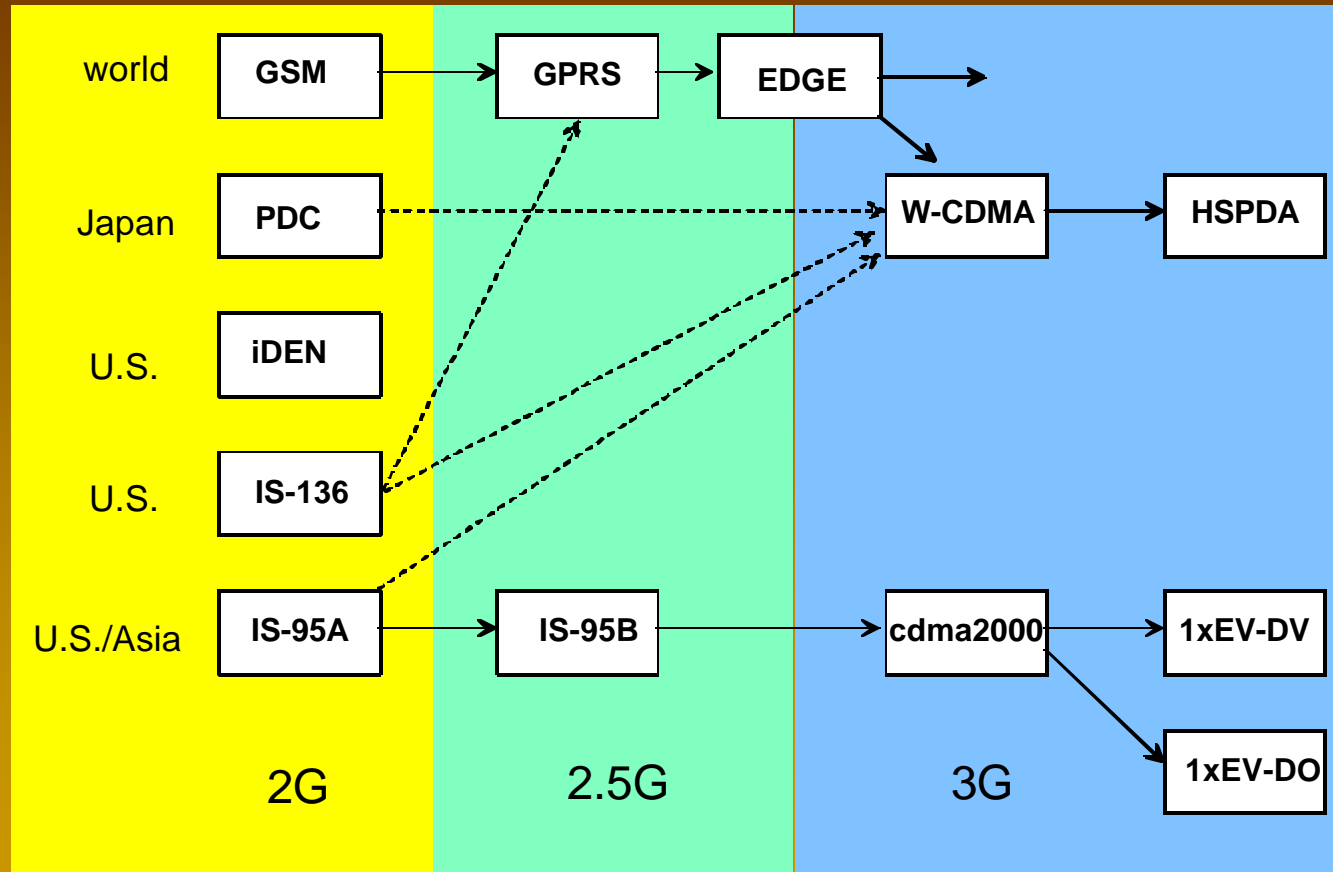


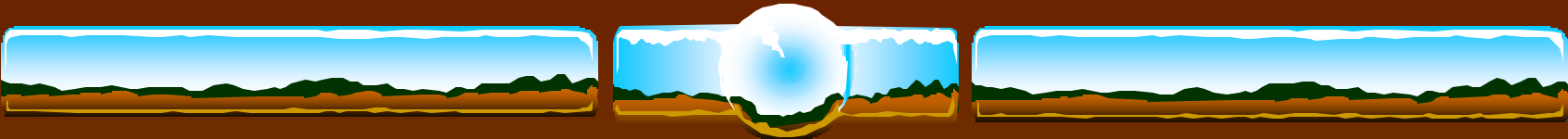
# What is Third Generation? [1]

- ❖ Flexible support of multiple services
  - ❖ Voice
  - ❖ Messaging – email, fax, etc.
  - ❖ Medium-rate multimedia – Internet access, educational
  - ❖ High-rate multimedia – file transfer, video
  - ❖ High-rate interactive multimedia – video teleconferencing, telemedicine, etc.
- ❖ Mobility: quasi-stationary to high-speed platforms
- ❖ Global roaming: ubiquitous, seamless coverage
- ❖ Evolution from second generation systems

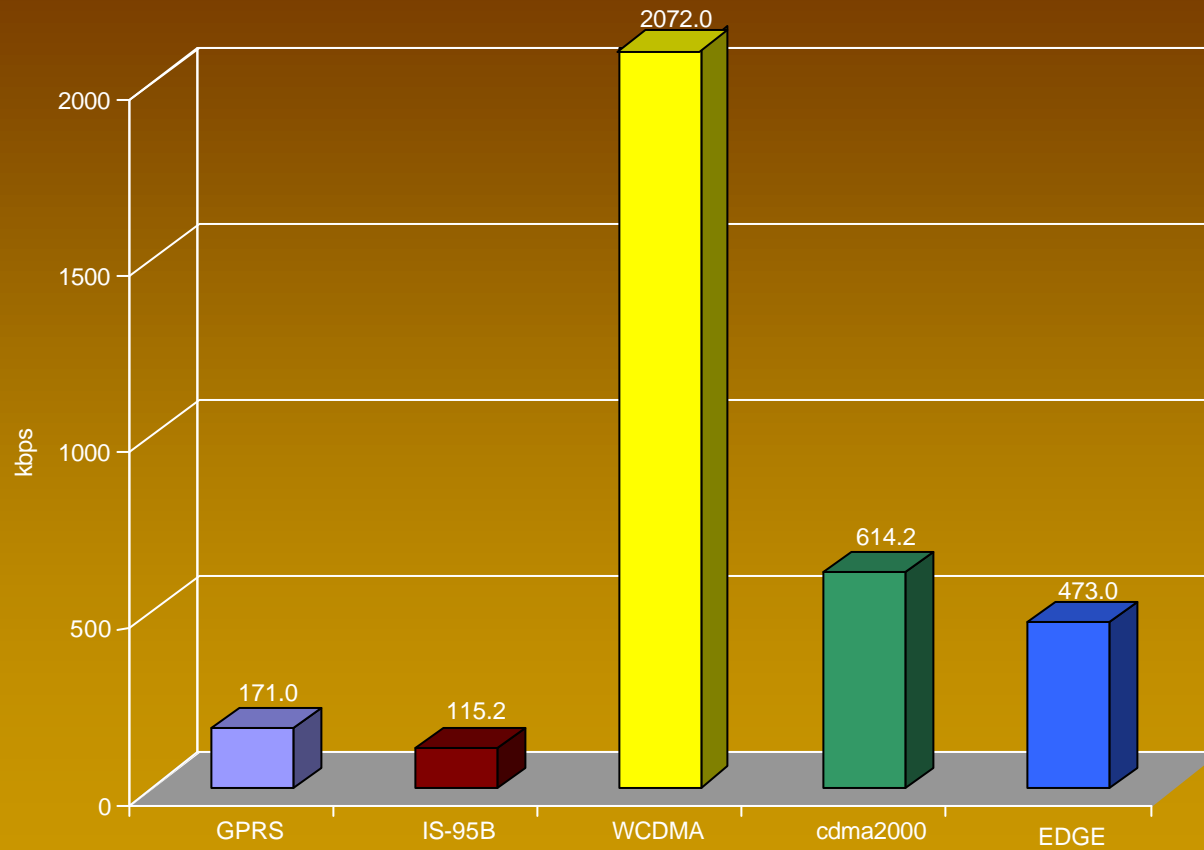


# Evolution of Standards [1]

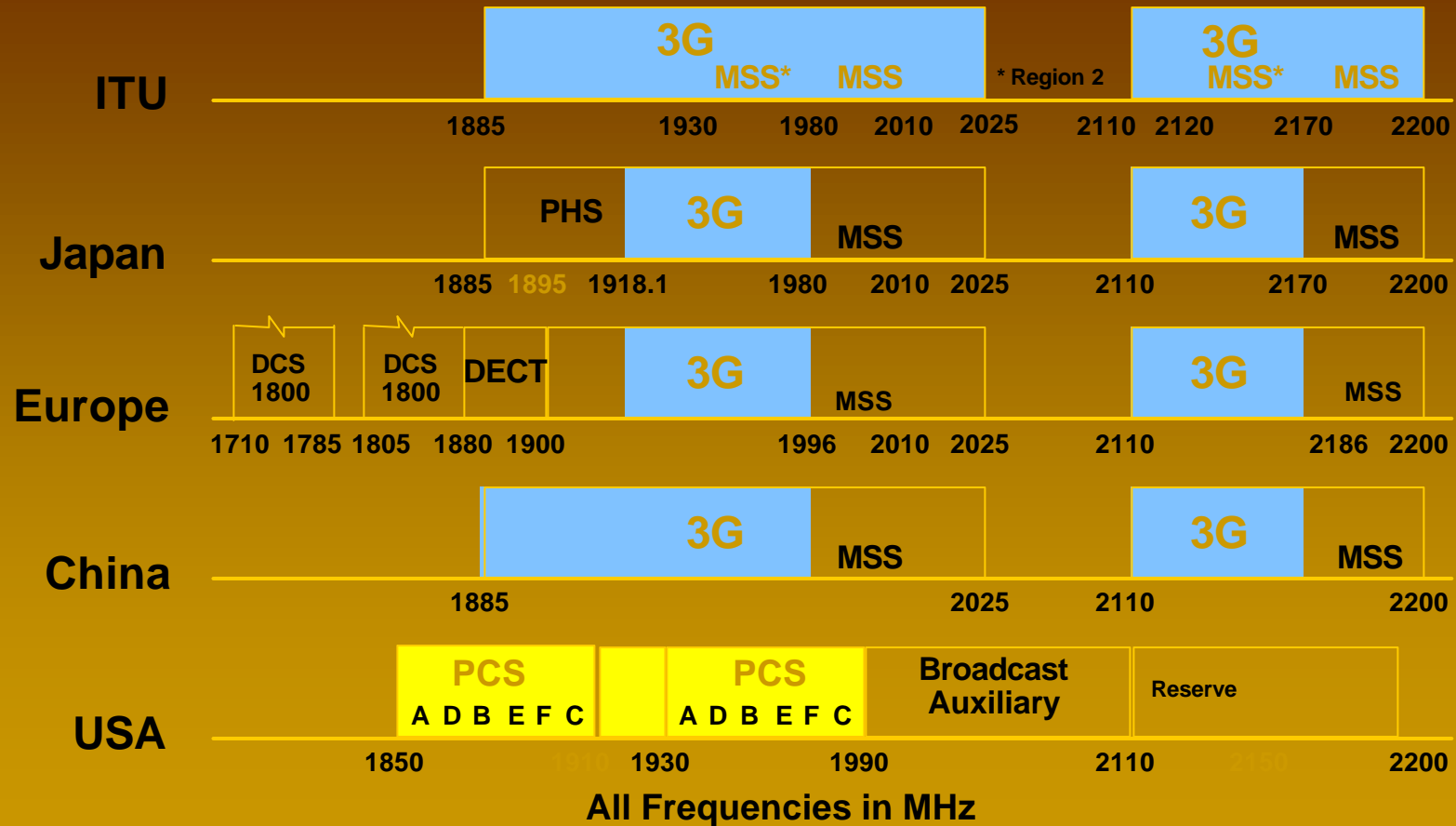




# Peak Data Rates [1]



# 3G Spectrum Availability [2]





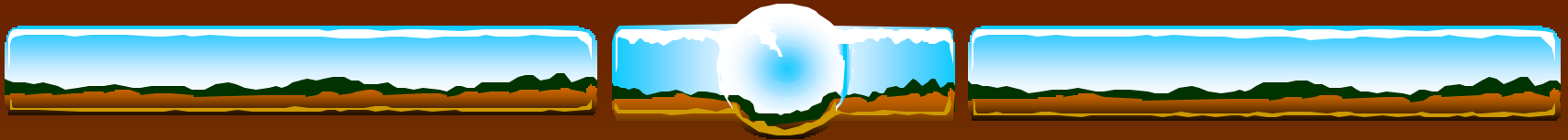
# Main Differences between WCDMA and GSM Air Interfaces [3]

	WCDMA	GSM
Carrier spacing	5 MHz	200 kHz
Reuse factor	1	1-18
Power control freq	1500 Hz	2 Hz or lower
Quality control	Radio resource management algorithms	Network planning (frequency planning)
Frequency diversity	Wideband with RAKE	Frequency hopping
Packet data	Load based packet scheduling	Time slot base scheduling with GPRS
Downlink diversity	Supported	Not supported



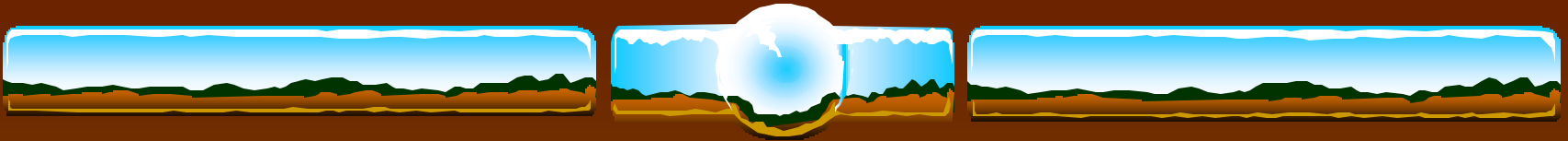
# Main Differences between WCDMA and IS-95 Air Interfaces [3]

	WCDMA	IS-95
Carrier spacing	5 MHz	1.25 MHz
Chip rate	3.84 Mcps	1.2288 Mcps
Power control freq	1500 up- & downlink	800 Hz uplink; slow, DL
Base station synchronization	Not needed	Yes, typically via GPS
Inter-frequency handovers	Yes, measurements with slotted mode	Possible; measurement method not specified
Radio resource management	Yes, provides QoS	Not needed (speech only)
Packet data	Load-based packet scheduling	Packet data xmitted as short circuit switched cells
Downlink transmit diversity	Supported	Not supported



# WCDMA Transmission Parameters

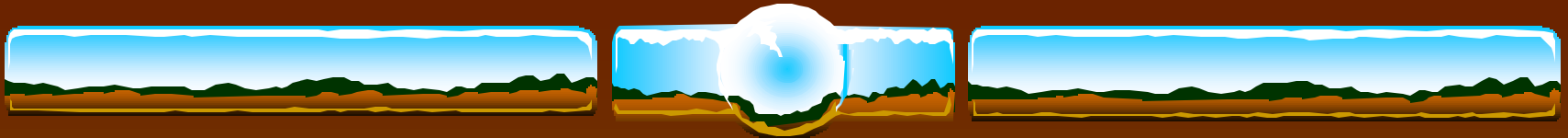
- ❖ Wideband direct-sequence spreading
  - ❖ 3.84 Mcps chip rate
  - ❖ Spreading gains (ratios) from 4 to 512
- ❖ Complex QPSK spreading
- ❖ Both frequency- and time-division duplex modes
- ❖ Both forward and reverse fast power control
- ❖ Coherent forward and reverse links using both code-division and time-division pilots
- ❖ Asynchronous cells



# New Features of cdma2000 vs. IS-95

## ❖ Forward link [4]

- ❖ Quadrature PSK data modulation (doubles avail. Walsh codes)
- ❖ Transmit diversity
- ❖ Fast power control
- ❖ Quasi-orthogonal codes (more codes)
- ❖ Auxiliary pilots (beam forming)
- ❖ New common power control and assignment channels
- ❖ Increased standby time (changes in paging channel)
- ❖ Turbo codes
- ❖ Variable rate
- ❖ Flexible frame length (5, 20, 40, and 80 ms)
- ❖ Multiframe interleaving

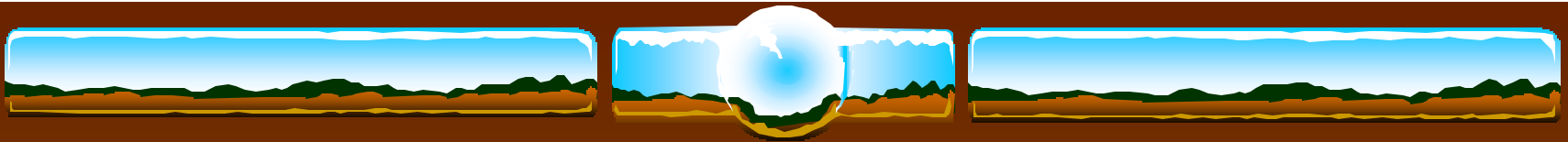


# New Features of cdma2000 vs. IS-95

## ❖ Reverse Link [4]

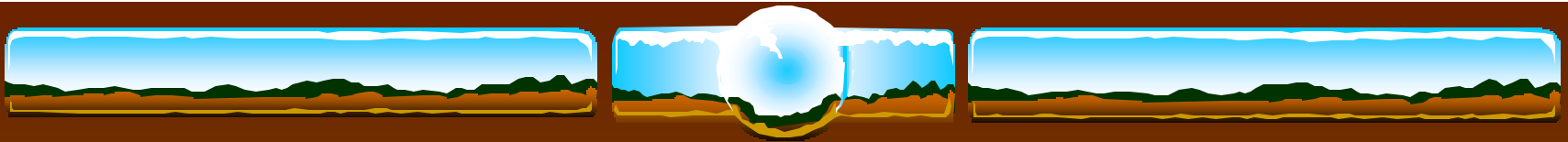
- ❖ Coherent pilot channel assisted
- ❖ Binary PSK data modulation
- ❖ Complex PN spreading
- ❖ Enhanced access channel = decreased setup times for traffic channelless connections (allows power control and slot reservations)
- ❖ Improvements to interfrequency hard handoff to support subframe searches





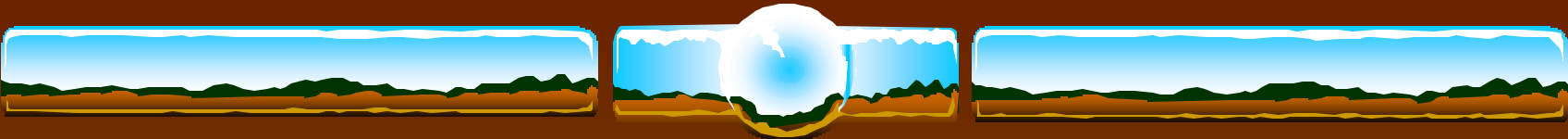
# W-CDMA Versus cdma2000 [2]

	W-CDMA	IS-2000
Chip Rate	4.096 Mcps	3.6864 Mcps
Frame Duration	10 ms	20 ms
Base Stn Sync	Asynchronous	Synchronous
Base Stn Acq/Det	3 step paral code srch for base stn det & slot/frame	Sync through time-shifted PN correlation
Forward Link Pilot	TDM dedicated pilot	CDM common pilot
Antenna Beam Form	TDM dedicated pilot	Auxiliary pilot

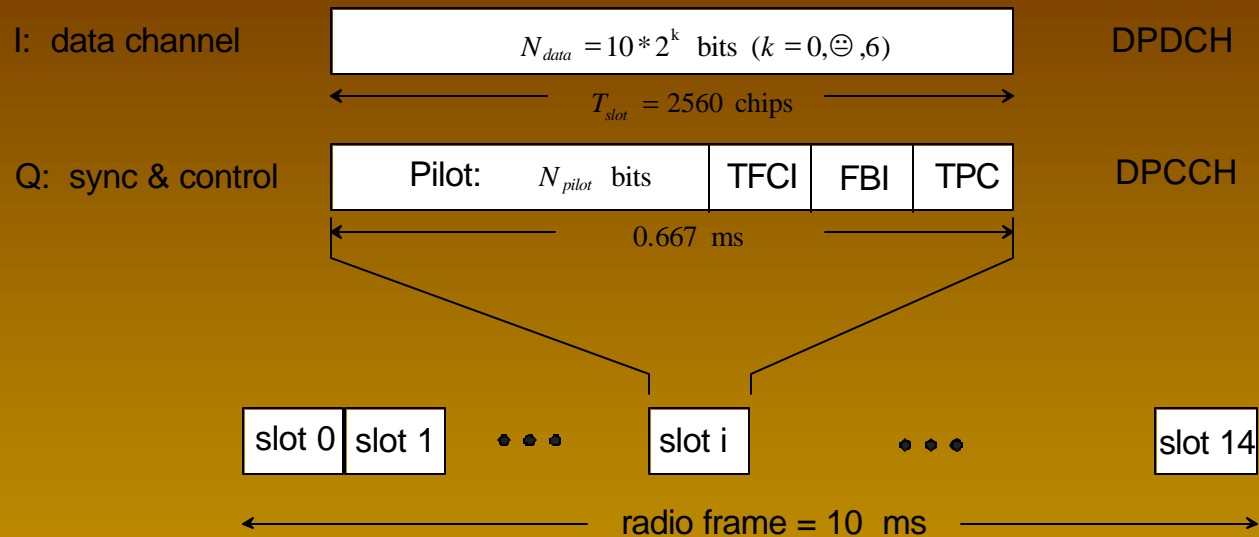


# Technologies Considered in Arriving at Third Generation

- ❖ Wideband Direct-Sequence Code Division Multiple Access (CDMA)
- ❖ Wideband Time Division Multiple Access (TDMA)
- ❖ Wideband C/TDMA
- ❖ Orthogonal Frequency Division Multiplexing (OFDM)
- ❖ Opportunity Driven Multiple Access (ODMA)



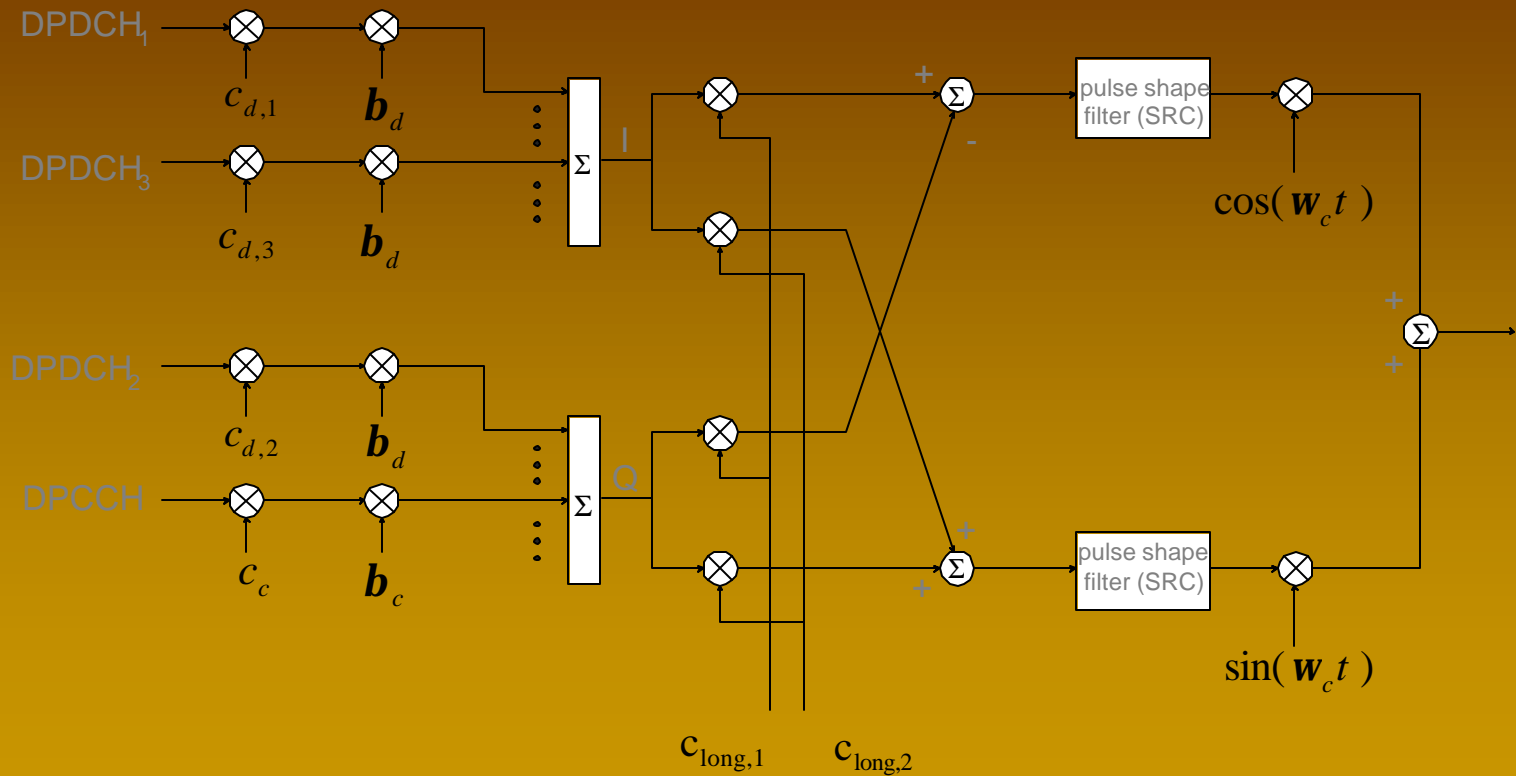
# WCDMA Uplink Frame Structure [1]



TFCI = transmit format combination indicator  
 FBI = feedback information  
 TPC = transmit power control

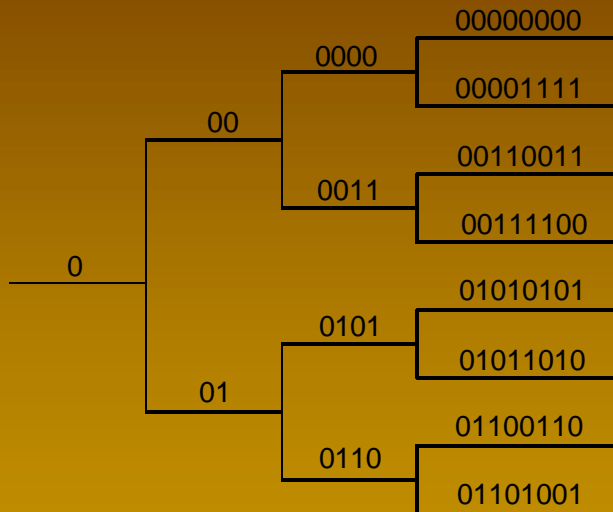
DPDCH = dedicated physical data channel  
 DPCCH = dedicated physical control channel

# WCDMA Uplink Modulator Structure [1]



# Orthogonal Variable Spreading Factor Codes

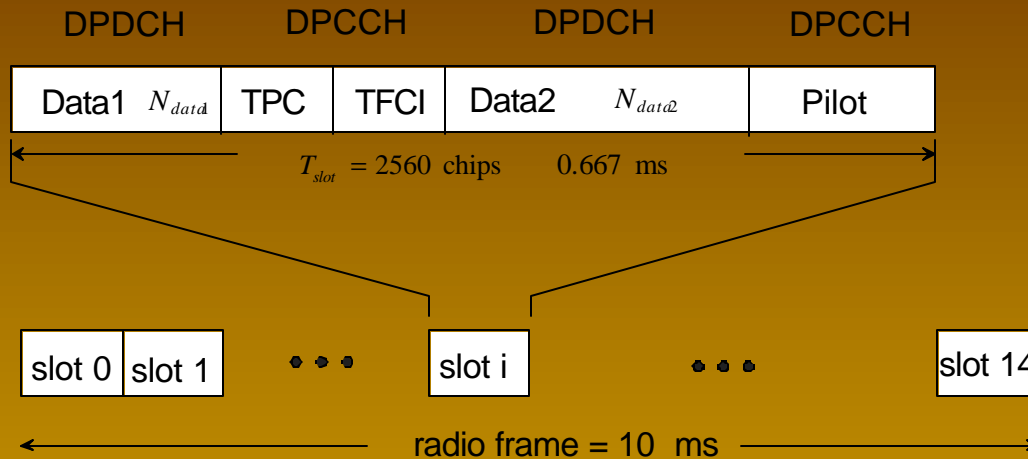
$C_{d,i}$  selected from this tree



Notes:

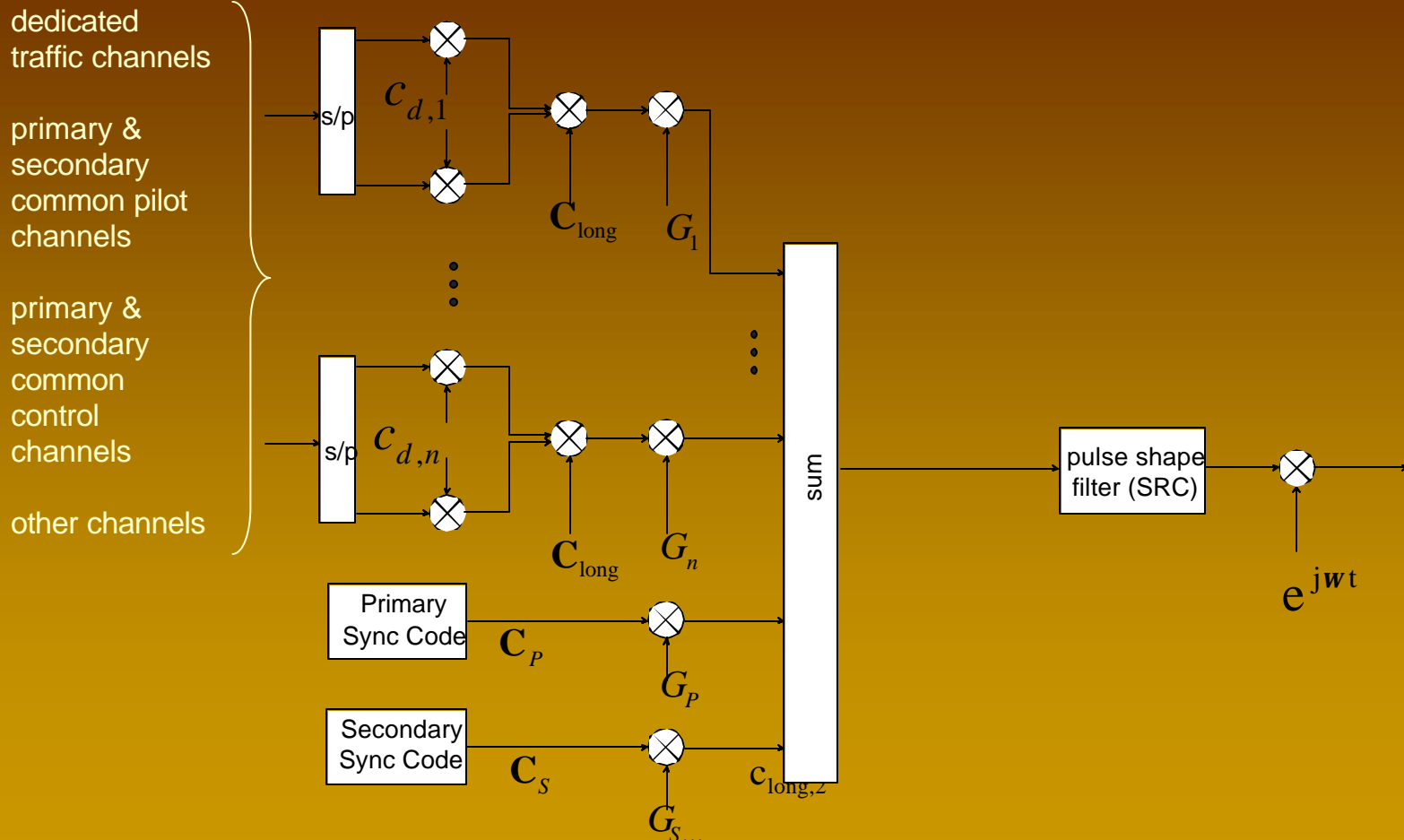
- 1) For fixed chip rate, desired information rate determines length of spreading sequence and therefore processing gain.
- 2) When a specific code is used, no other code on the path from that code to the root and or on the subtree beneath that code may be used.
- 3) All the codes at any depth into the tree are the set of Walsh Sequences.
- 4) Code phase is synchronous with information symbols.
- 5) FDD UL processing gain between 256 and 4  
FDD DL processing gain between 512 and 4  
TDD UL/DL processing gain between 16 and 1
- 6) Multicode used only for SF = 4

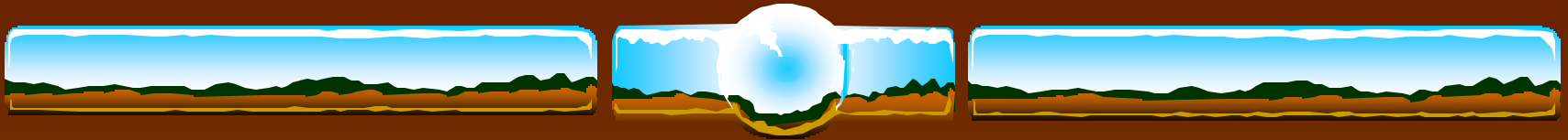
# WCDMA Downlink Frame Structure [1]



$$N_{data1} + N_{data2} = 10 * 2^k \text{ bits } (k = 0, \oplus, 7)$$

# WCDMA Downlink Modulator Structure [1]



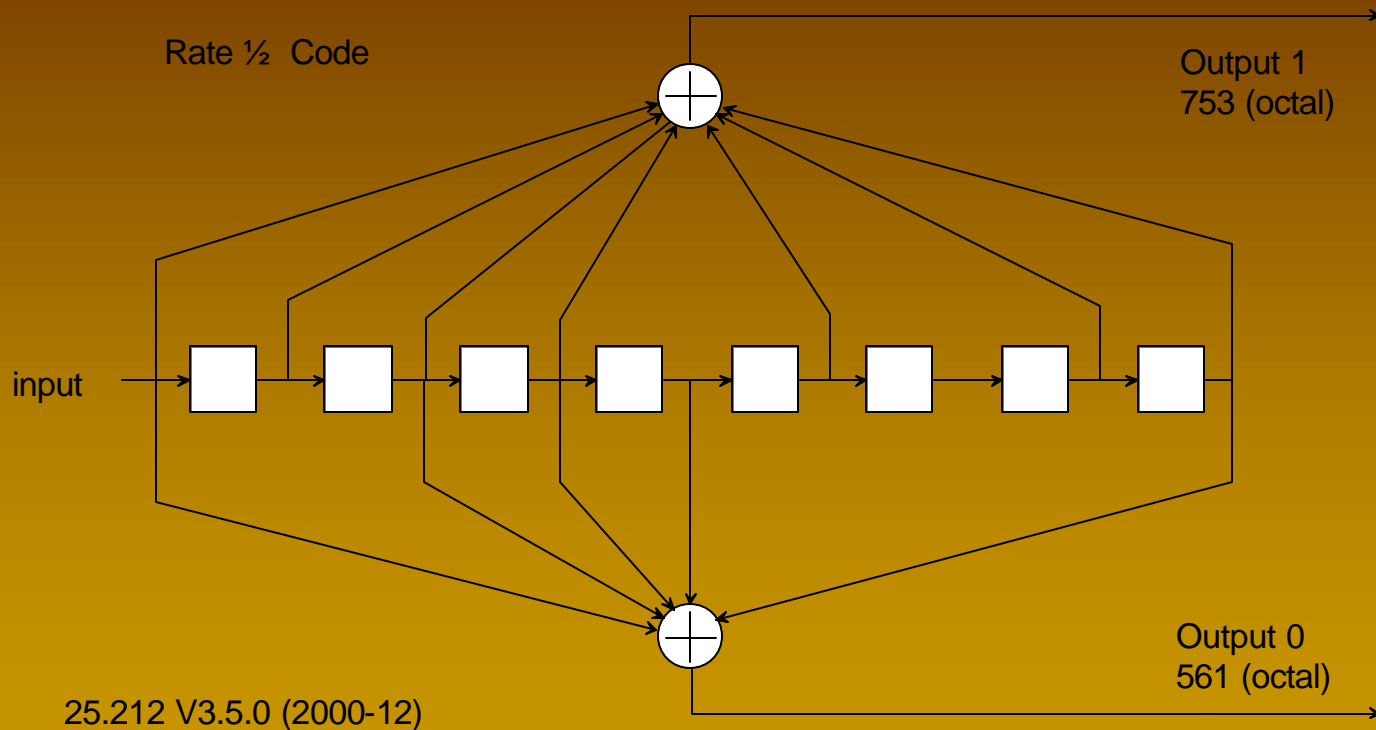


# WCDMA Forward Error Control

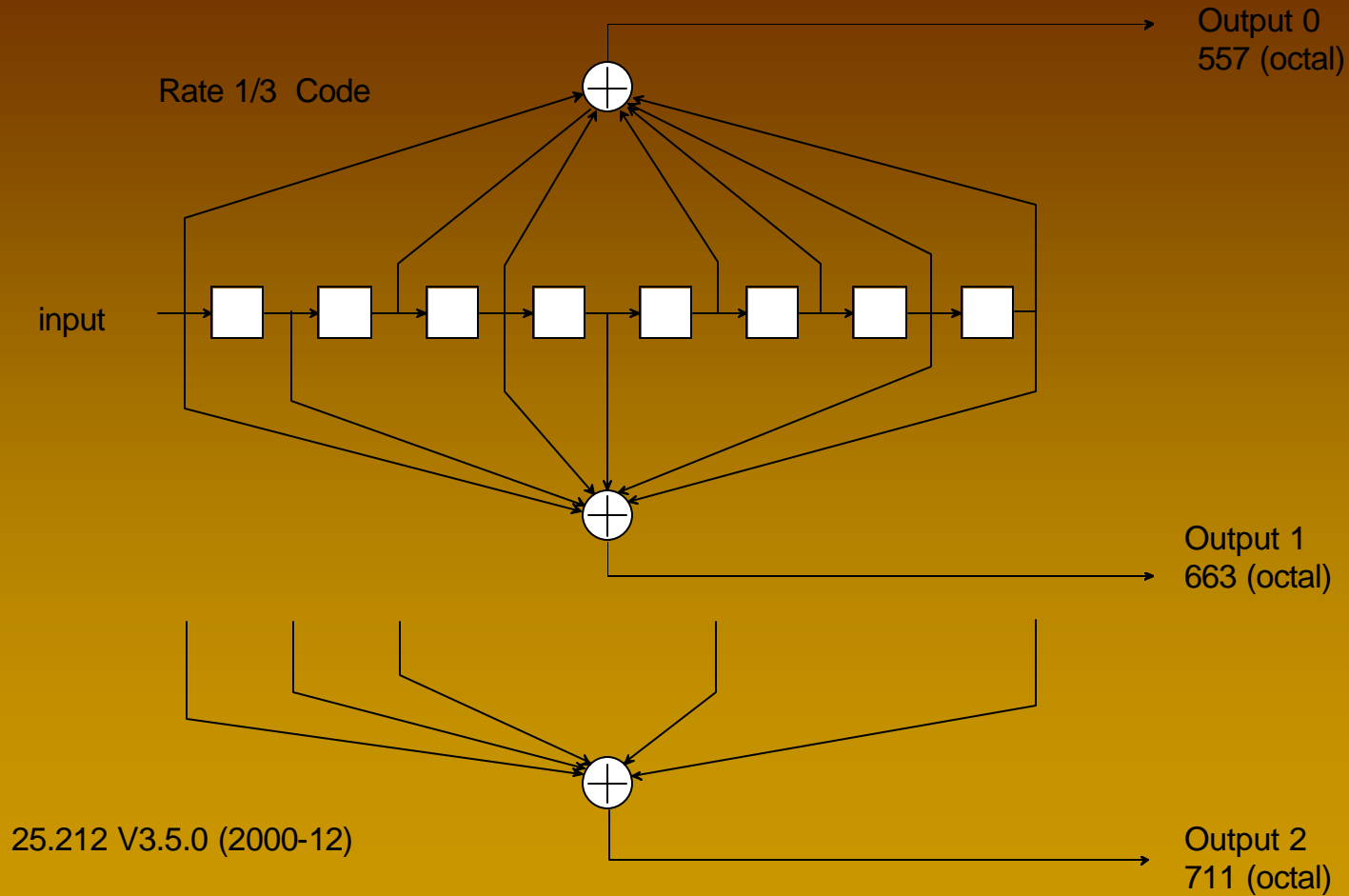
- ❖ Convolutional Coding
  - ❖ rate 1/2 & rate 1/3
  - ❖ 256 state
  - ❖ puncture to higher rates
  - ❖ interleave over 10, 20, 40 or 80 ms
- ❖ Turbo Coding
  - ❖ parallel coding
  - ❖ rate 1/3
  - ❖ 8 state codes
  - ❖ block lengths 320 to 5114 bits
  - ❖ interleaver designed within 3gpp



# WCDMA Convolutional Code



# WCDMA Convolutional Code



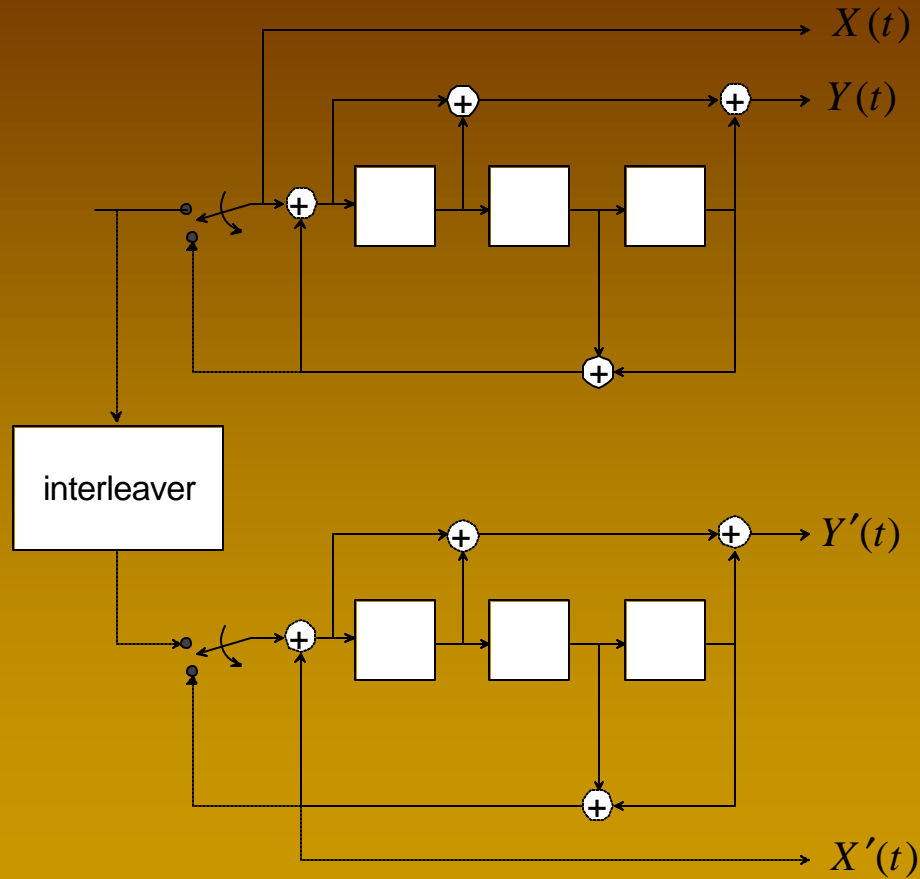
25.212 V3.5.0 (2000-12)

May 28-June 1, 2001

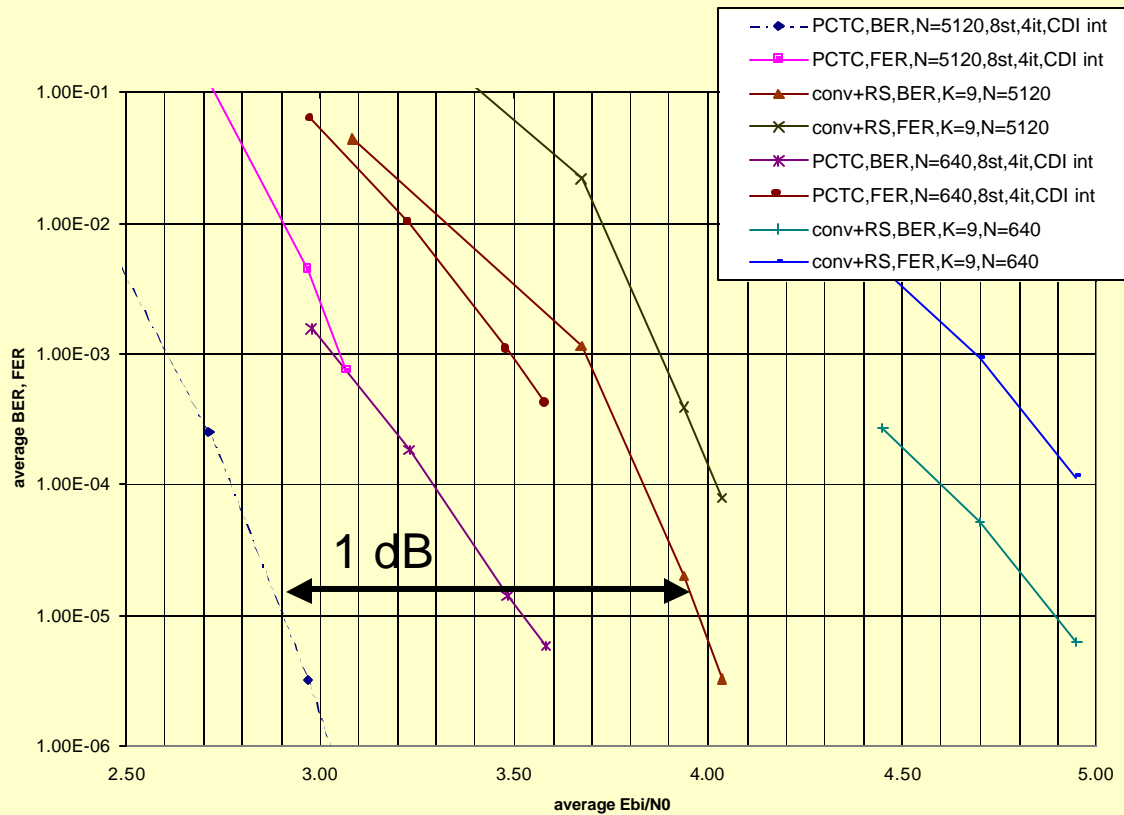
R. Z. Ziemer, Colorado Springs, CO

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# WCDMA Turbo Coding

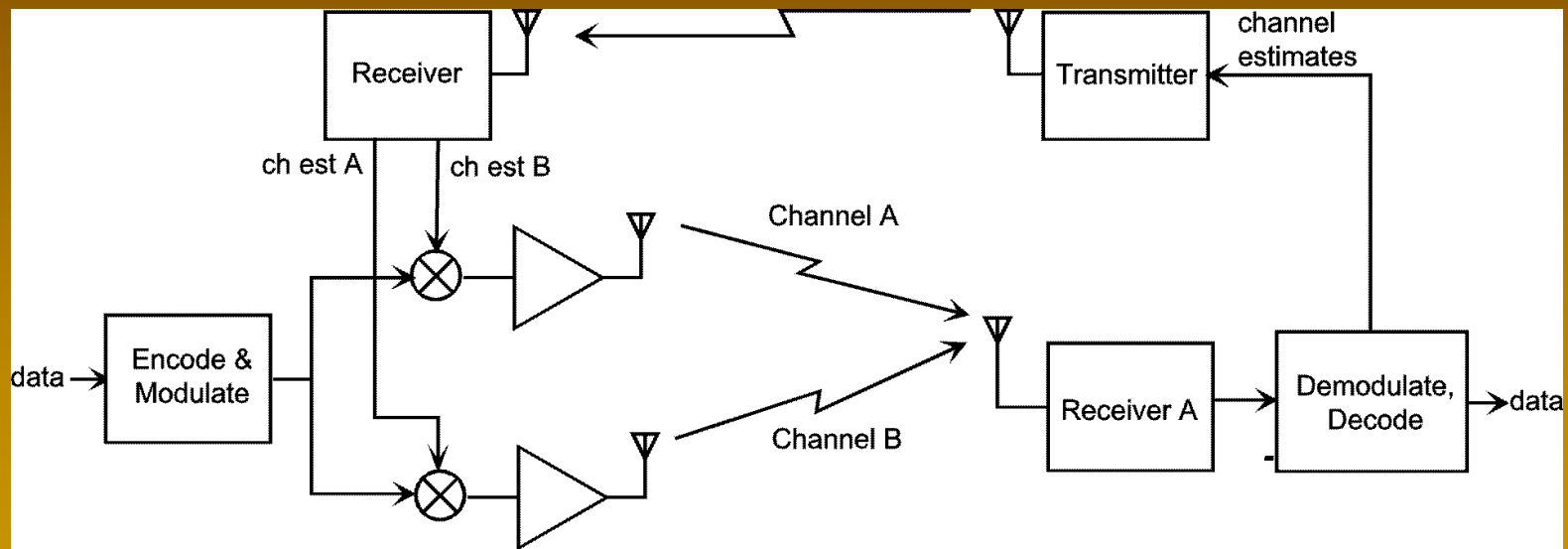


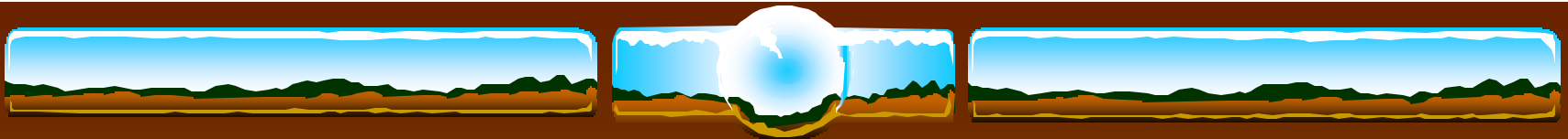
# Coding Performance Compared



# Diversity Strategies for Downlink

## Transmit Diversity



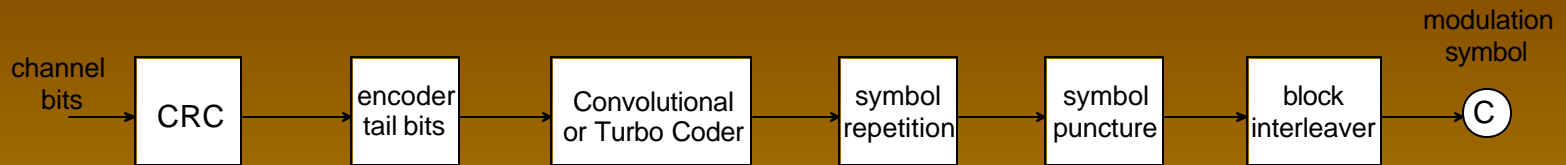


# cdma2000 Transmission Parameters

- ❖ Wideband direct-sequence CDMA
  - ❖ uplink chip rates **1.2288 Mcps & 3.686 Mcps**
  - ❖ downlink chip rate **1.2288 Mcps**
    - ❖ **single or 3X multicarrier downlink**
  - ❖ spreading factors from TBD to TBD
- ❖ Complex QPSK spreading
- ❖ Frequency Division Duplex
- ❖ Both forward and reverse fast power control (**800 Hz**)
- ❖ Coherent forward and reverse links using code-division pilots
- ❖ **Synchronous** cells

# cdma2000 Uplink Frame Structure

## Radio Configuration 3



Bits/ Frame	CRC bits	tail bits	Data Rate kbps	Code Rate	Repeats	Delete	Symbols
16	6	8	1.5	1/4	16	1 of 5	1536
40	6	8	2.7	1/4	8	1 of 9	1536
80	8	8	4.8	1/4	4	none	1536
172	12	8	9.6	1/4	2	none	1536
350	16	8	19.2	1/4	1	none	1536
744	16	8	38.4	1/4	1	none	3072
1512	16	8	76.8	1/4	1	none	6144
3048	16	8	153.6	1/4	1	none	12288
6120	16	8	307.2	1/2	1	none	12288

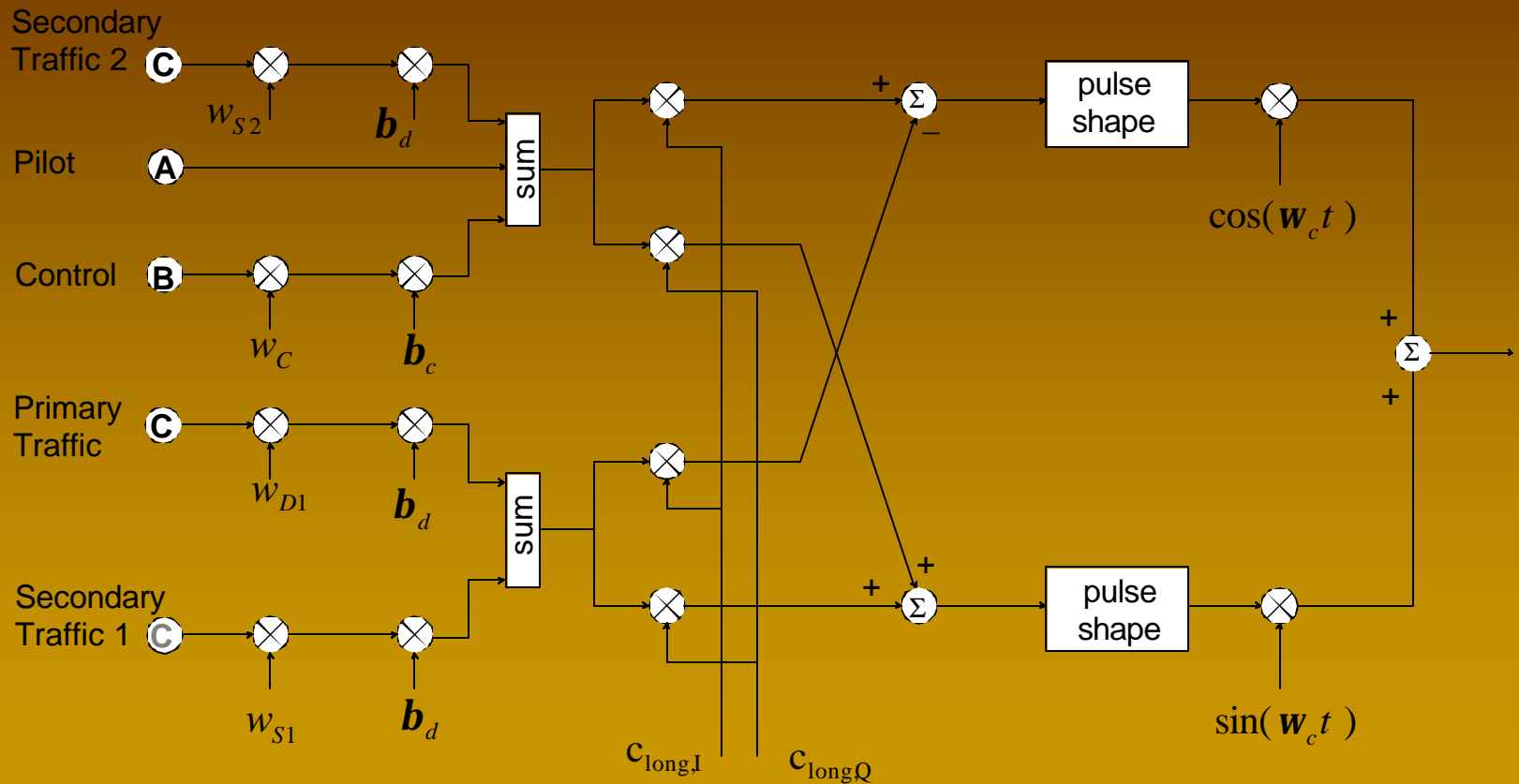
C.S.0002-A-1 Fig 2.1.3.1.1.1-8

May 28-June 1, 2001

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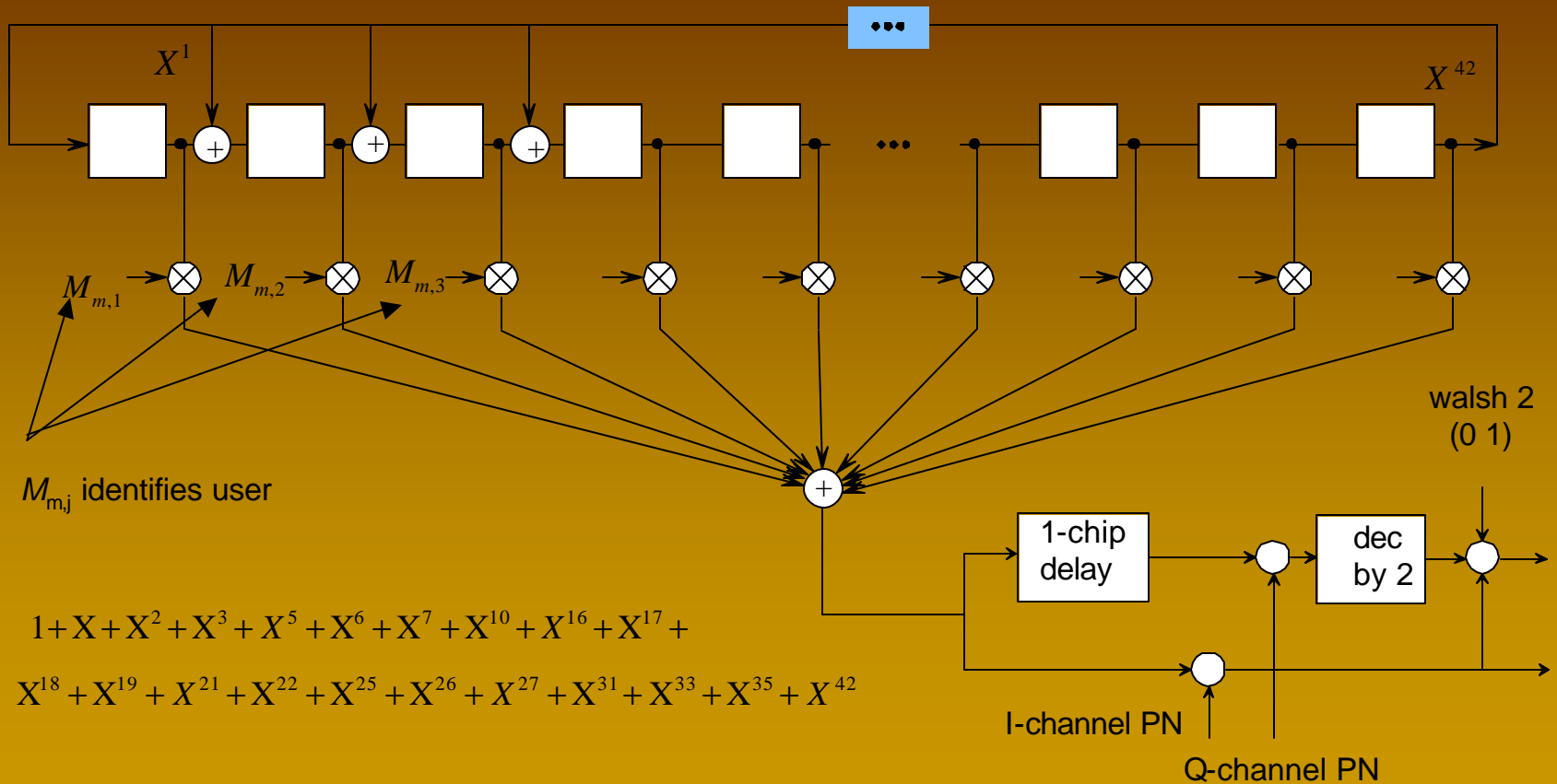
31

# cdma2000 Uplink Modulator



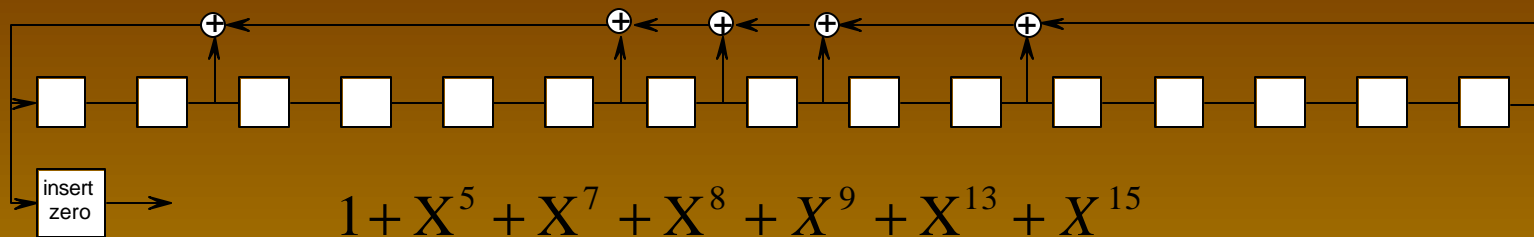


# cdma2000 Long Code Generator

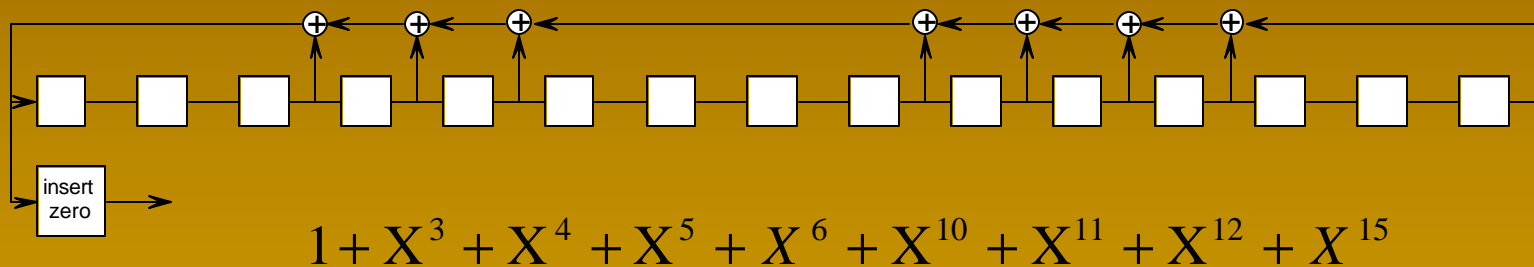


# Short PN "Pilot" Sequence: 1.2288 Mcps

inphase PN sequence

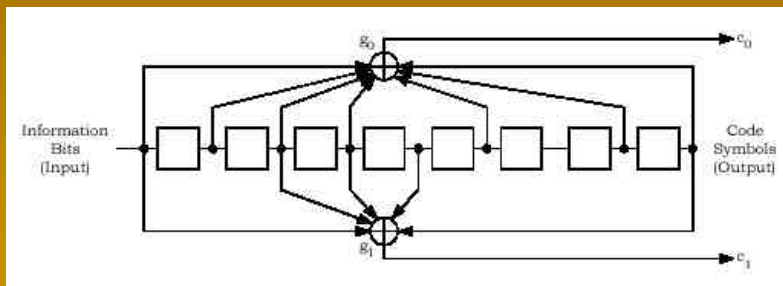
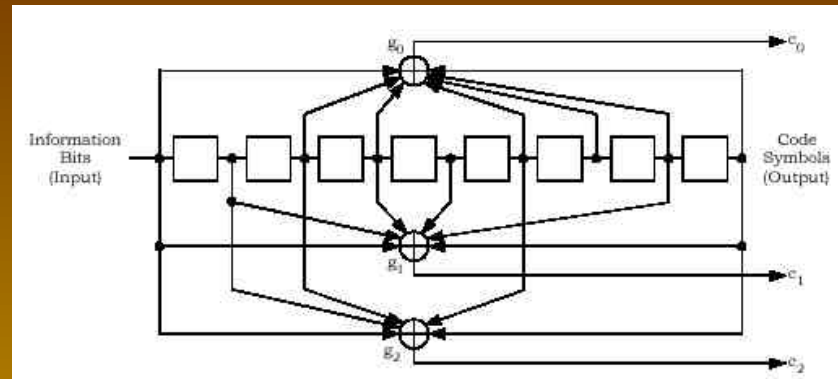
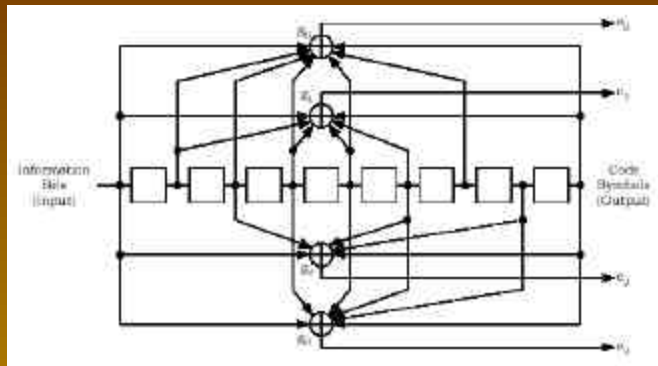


quadrature PN sequence



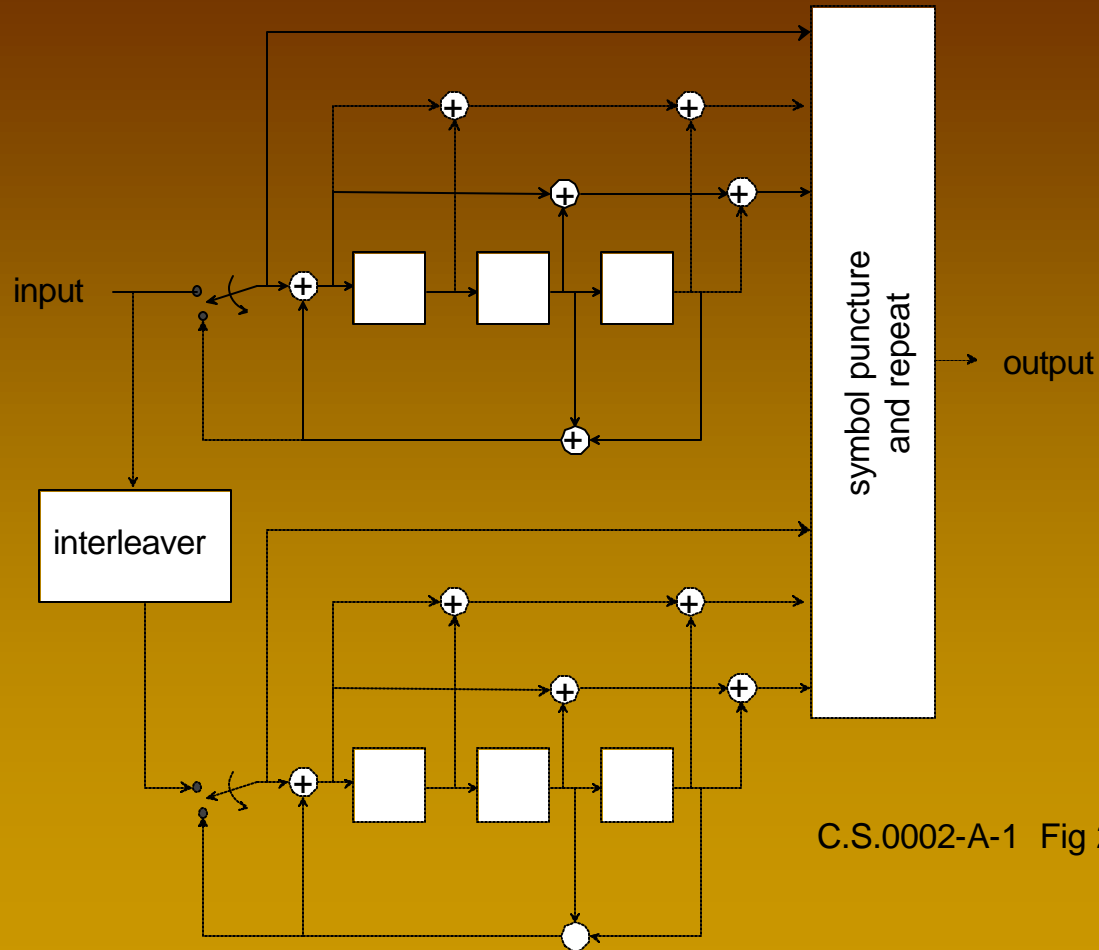
C.S0002-A-1 paragraph 2.1.3.1.12.1

# cdma2000 UL Convolutional Codes



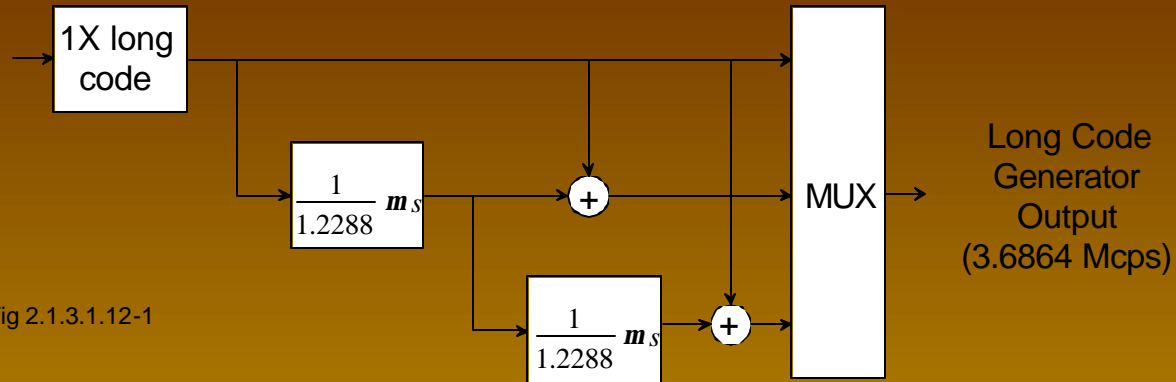
C.S.0002-A-1 Fig 2.1.3.1.4.1.1-1

# cdma2000 Turbo Code



C.S.0002-A-1 Fig 2.1.3.1.4.2.1-1

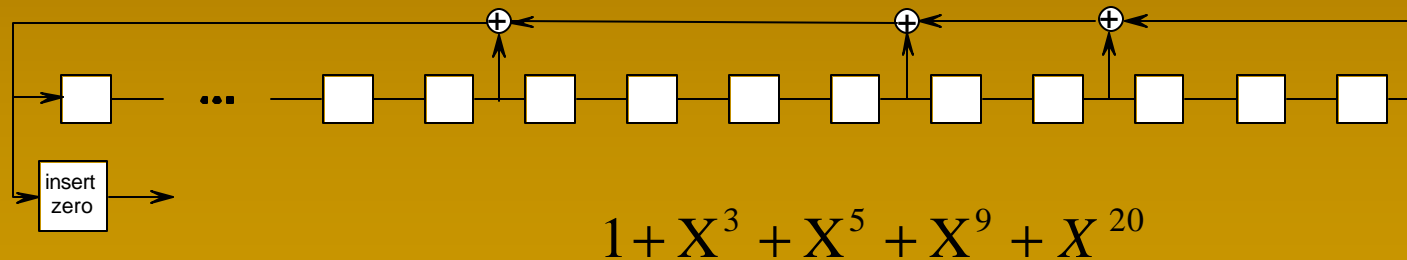
# cdma2000 3X Code Generators



C.S0002-A-1 Fig 2.1.3.1.12-1

## Short "Pilot" Code

Truncate and use delay different segments for I and Q

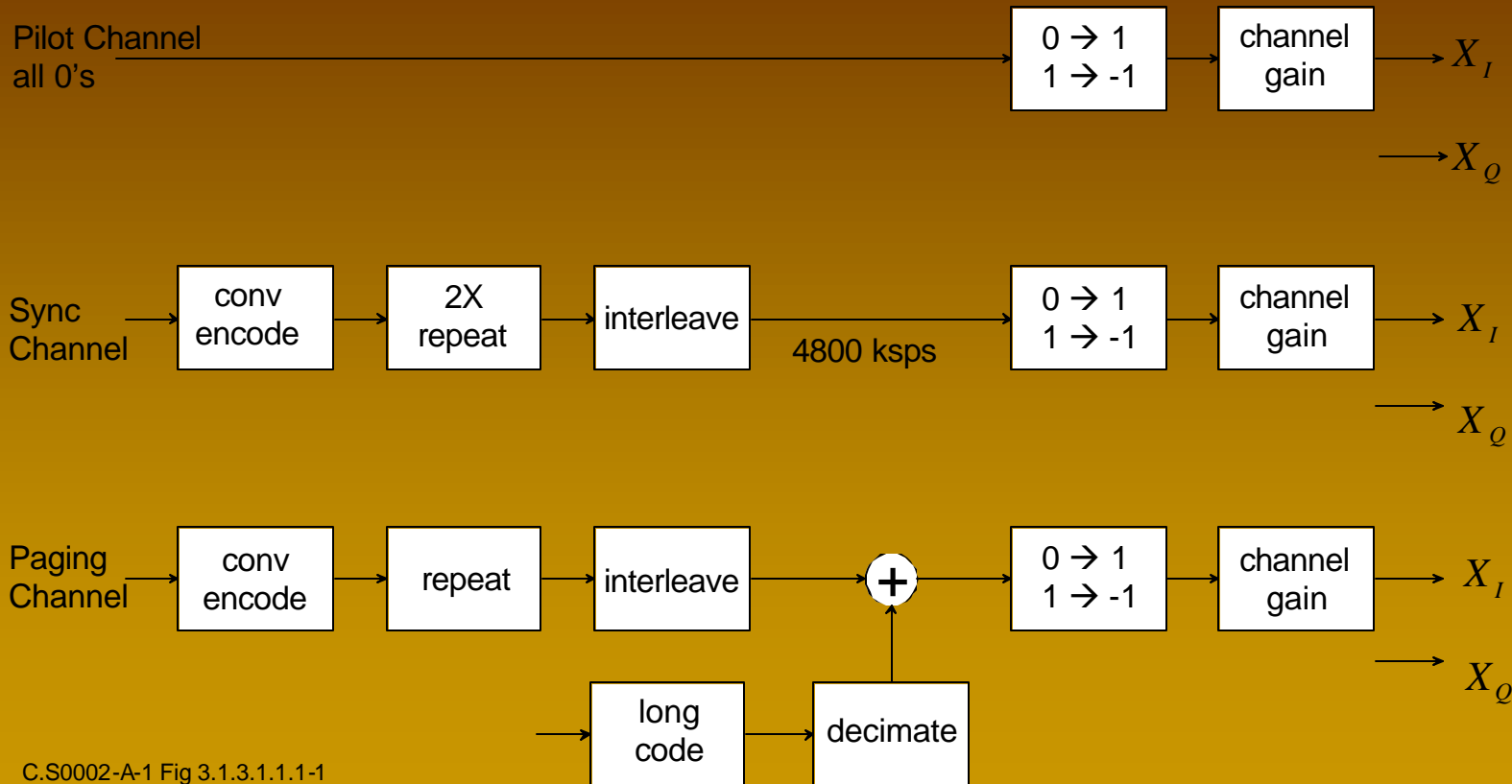


# cdma2000 Uplink Data Rates

**Table 2.1.3.1-1. Radio Configuration Characteristics for the Reverse CDMA Channel**

Radio Config.	Associated Spreading Rate	Data Rates, Forward Error Correction, and General Characteristics
1	1	1200, 2400, 4800, and 9600 bps data rates with $R = 1/3$ , 64-ary orthogonal modulation
2	1	1800, 3600, 7200, and 14400 bps data rates with $R = 1/2$ , 64-ary orthogonal modulation
3	1	1200, 1350, 1500, 2400, 2700, 4800, 9600, 19200, 38400, 76800, and 153600 bps data rates with $R = 1/4$ , 307200 bps data rate with $R = 1/2$ , BPSK modulation with a pilot
4	1	1800, 3600, 7200, 14400, 28800, 57600, 115200, and 230400 bps data rates with $R = 1/4$ , BPSK modulation with a pilot
5	3	1200, 1350, 1500, 2400, 2700, 4800, 9600, 19200, 38400, 76800, and 153600 bps data rates with $R = 1/4$ , 307200 and 614400 bps data rate with $R = 1/3$ , BPSK modulation with a pilot
6	3	1800, 3600, 7200, 14400, 28800, 57600, 115200, 230400, and 460800 bps data rates with $R = 1/4$ , 1036800 bps data rate with $R = 1/2$ , BPSK modulation with a pilot
<p>Note: For Radio Configurations 3 through 6, the Reverse Dedicated Control Channel and Reverse Fundamental Channel also allow a 9600 bps, 5 ms format.</p>		

# cdma2000 1X Downlink Common Channels



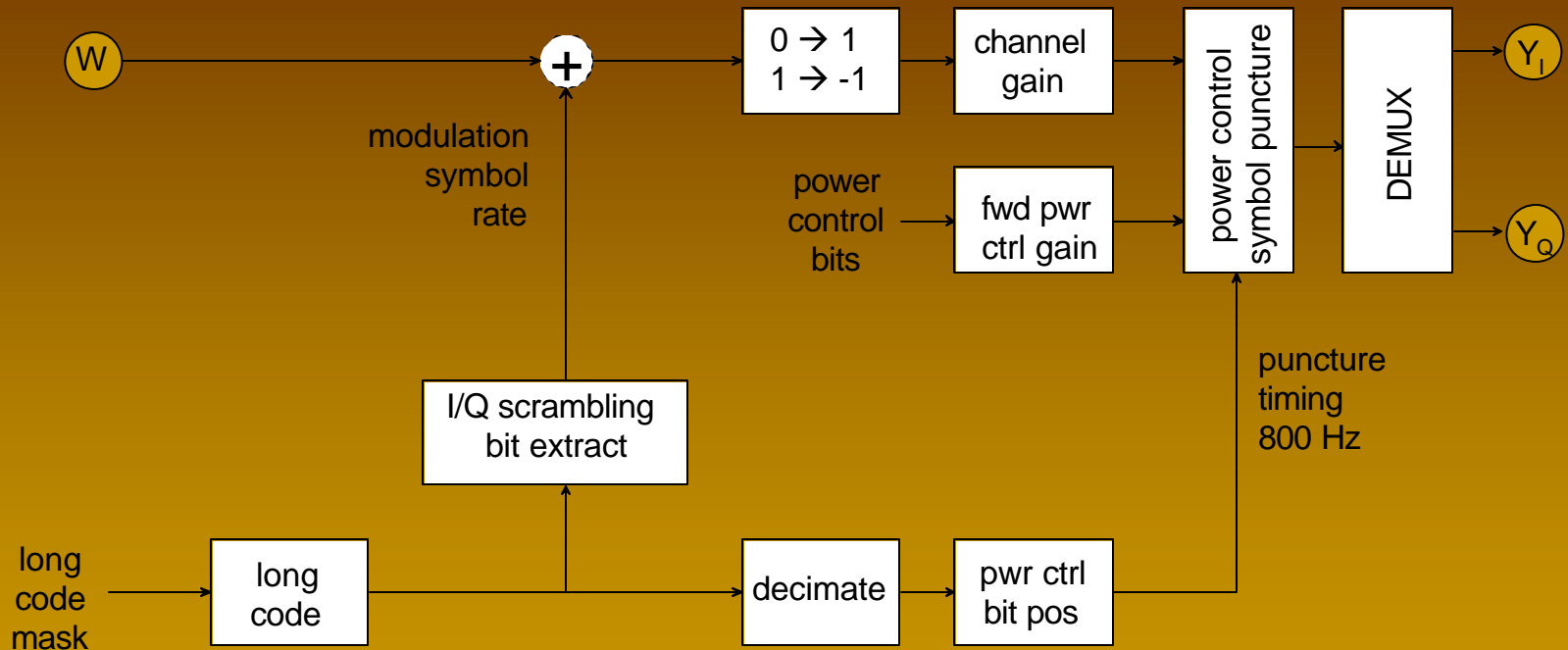
C.S0002-A-1 Fig 3.1.3.1.1.1-1

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# cdma2000 1X DL Modulation Processing



C.S.0002-A-1 Fig 3.1.3.1.1.1-18

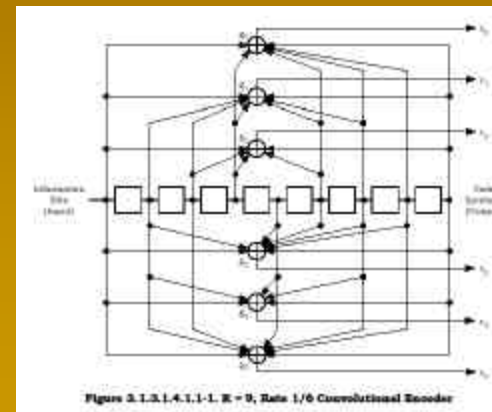
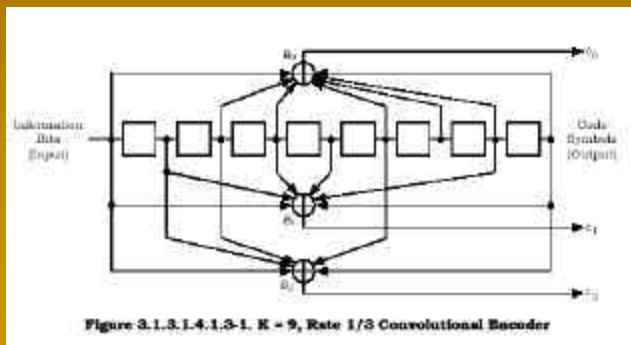
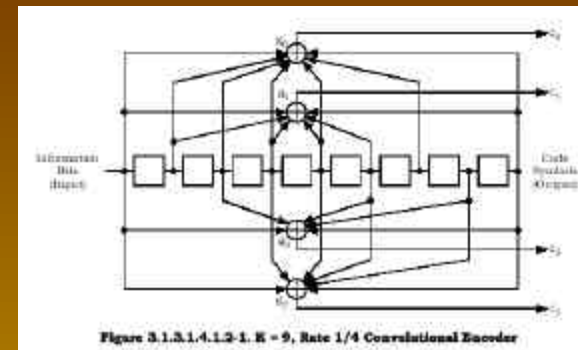
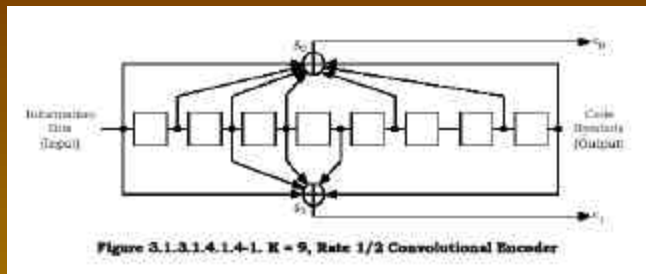
May 28-June 1, 2001

R. Z. Ziemer, Colorado Springs, CO

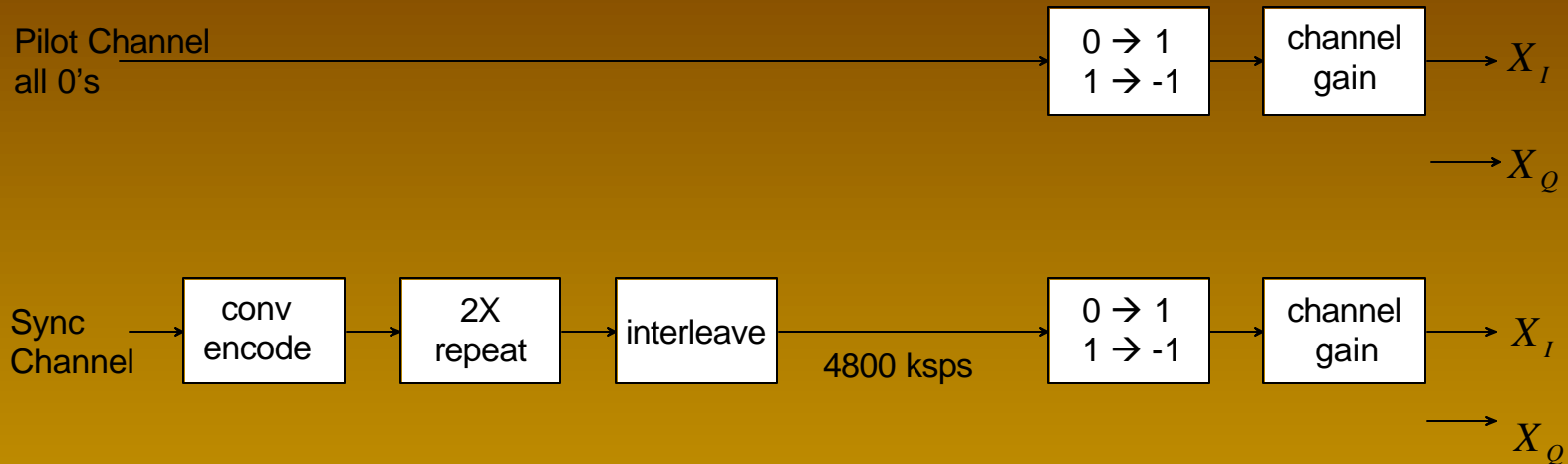
40



# cdma2000 Downlink Convolutional Codes

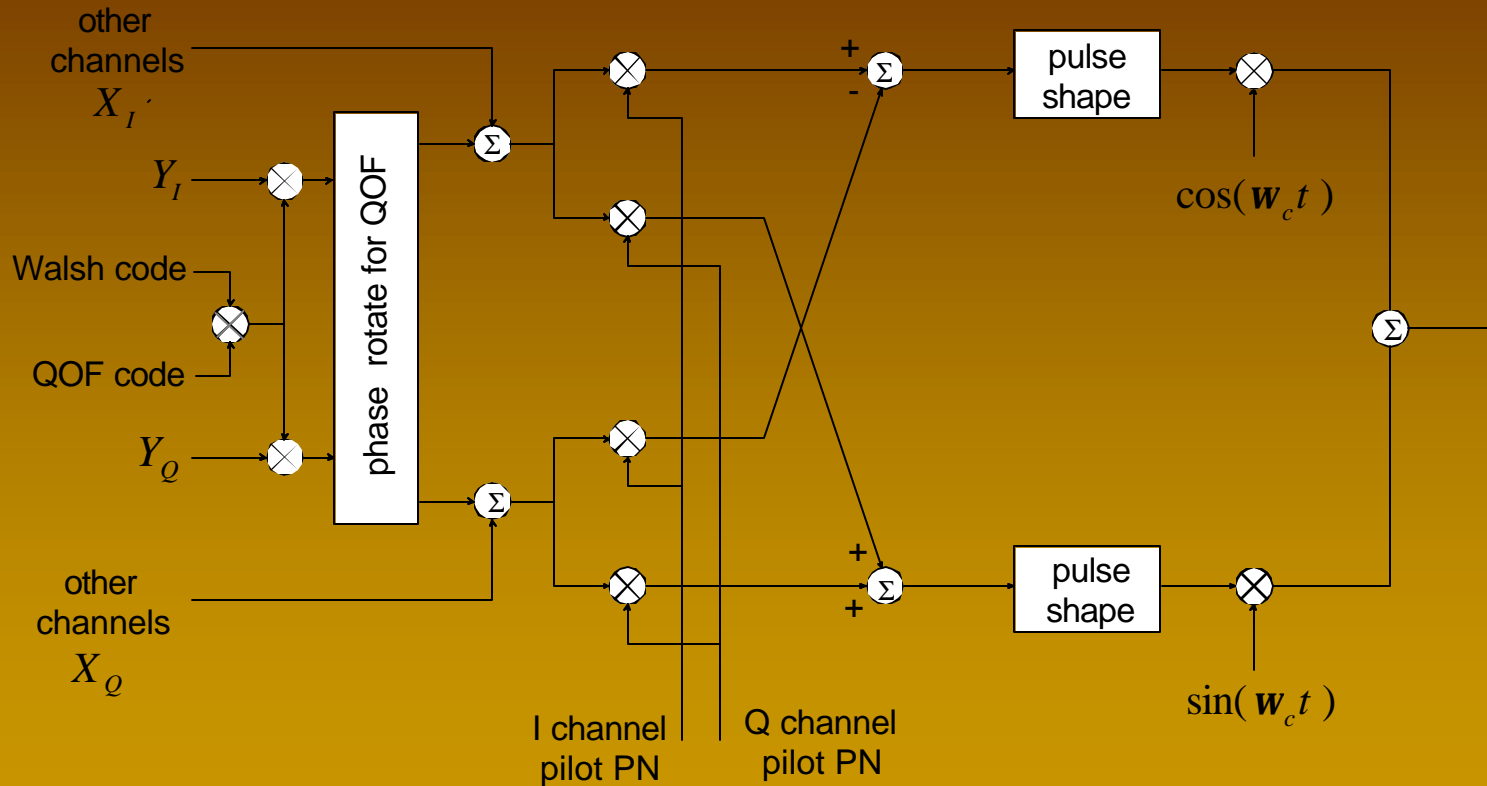


# cdma2000 3X Downlink Common Channels



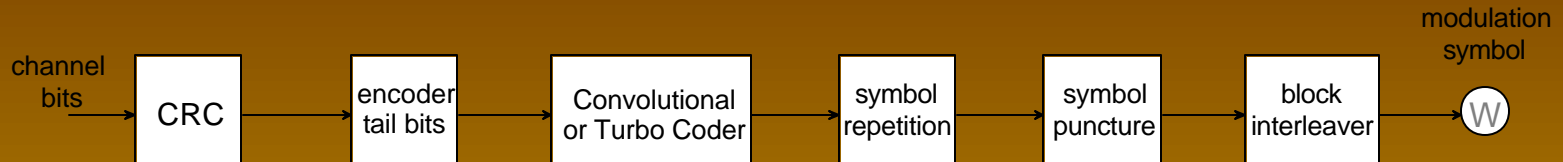
C.S.0002-A-1 Fig 3.1.3.1.1.2-1

# cdma2000 1X Downlink Modulation



# cdma2000 Downlink Frame Structure

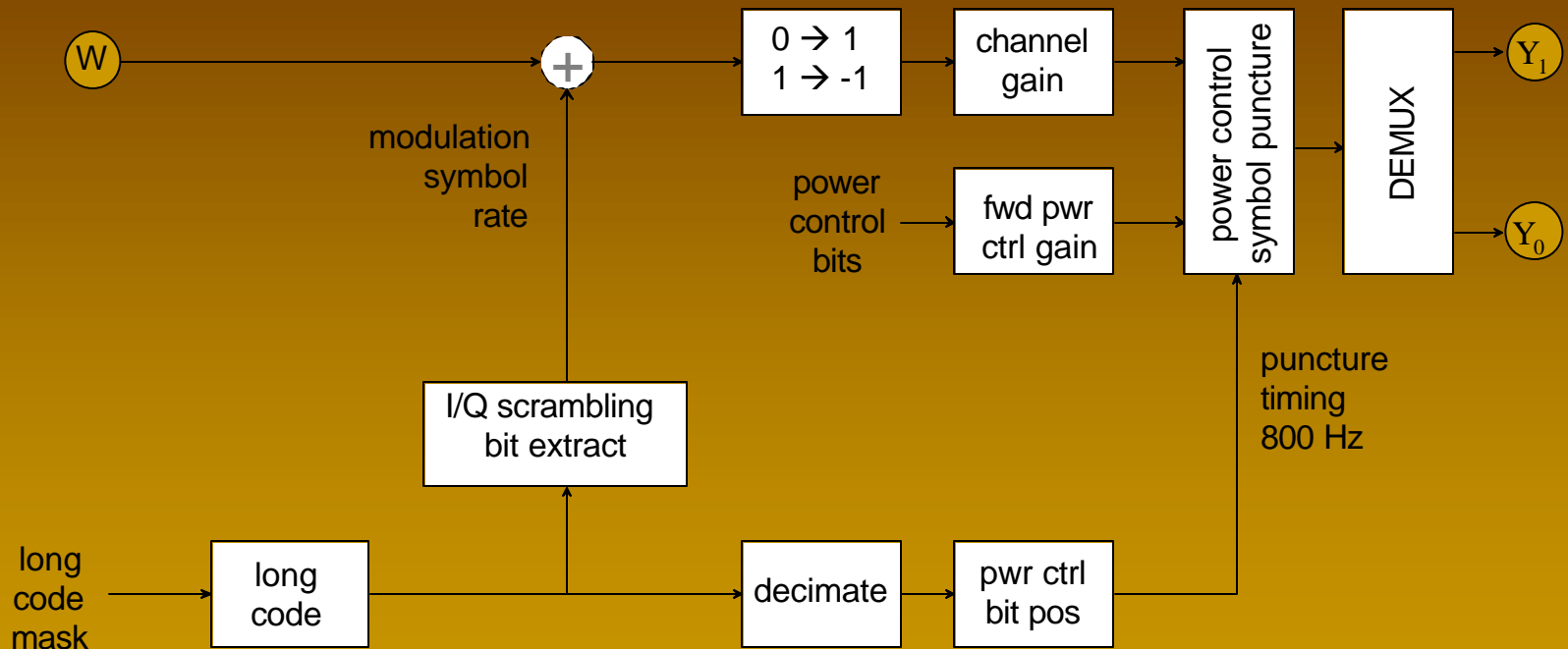
## Radio Configuration 3



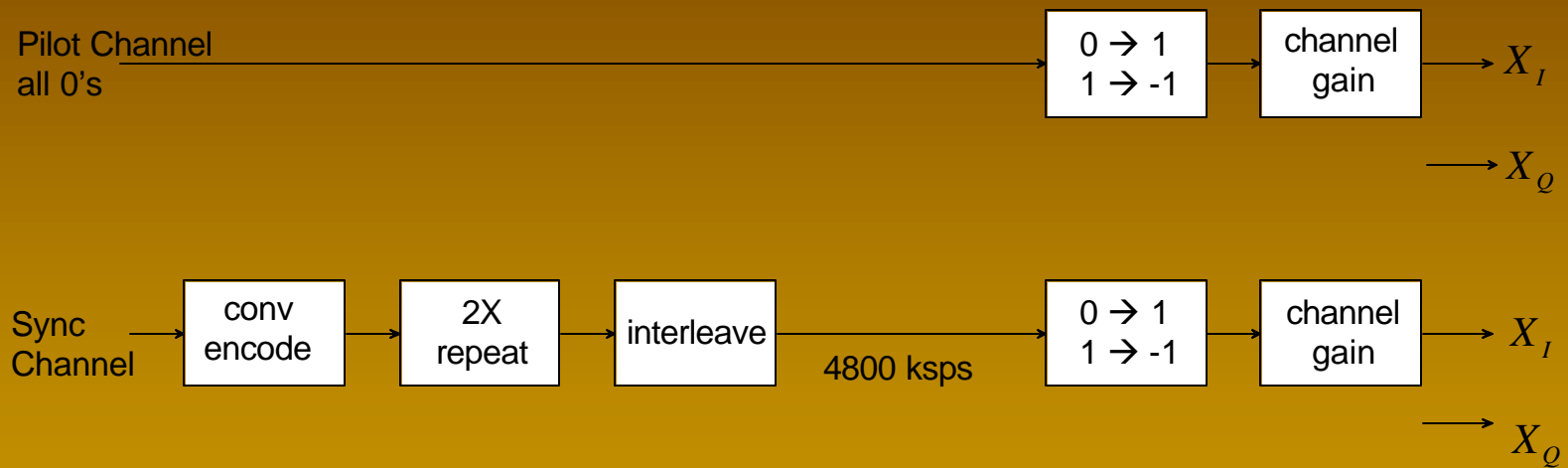
Bits/ Frame	CRC bits	tail bits	Data Rate kbps	Code Rate	Repeats	Delete	Symbols
16	6	8	1.5	1/4	8	1 of 5	768
40	6	8	2.7	1/4	4	1 of 9	768
80	8	8	4.8	1/4	2	none	768
172	12	8	9.6	1/4	1	none	768
360	16	8	19.2	1/4	1	none	1536
744	16	8	38.4	1/4	1	none	3072
1512	16	8	76.8	1/4	1	none	6144
3048	16	8	153.6	1/4	1	none	12288

Other similar tables in specification.

# cdma2000 3X DL Modulation Processing

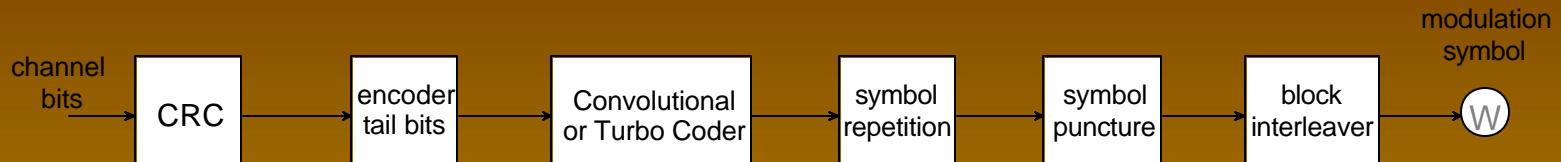


# cdma2000 3X Downlink Common Channels



# cdma2000 Downlink Frame Structure

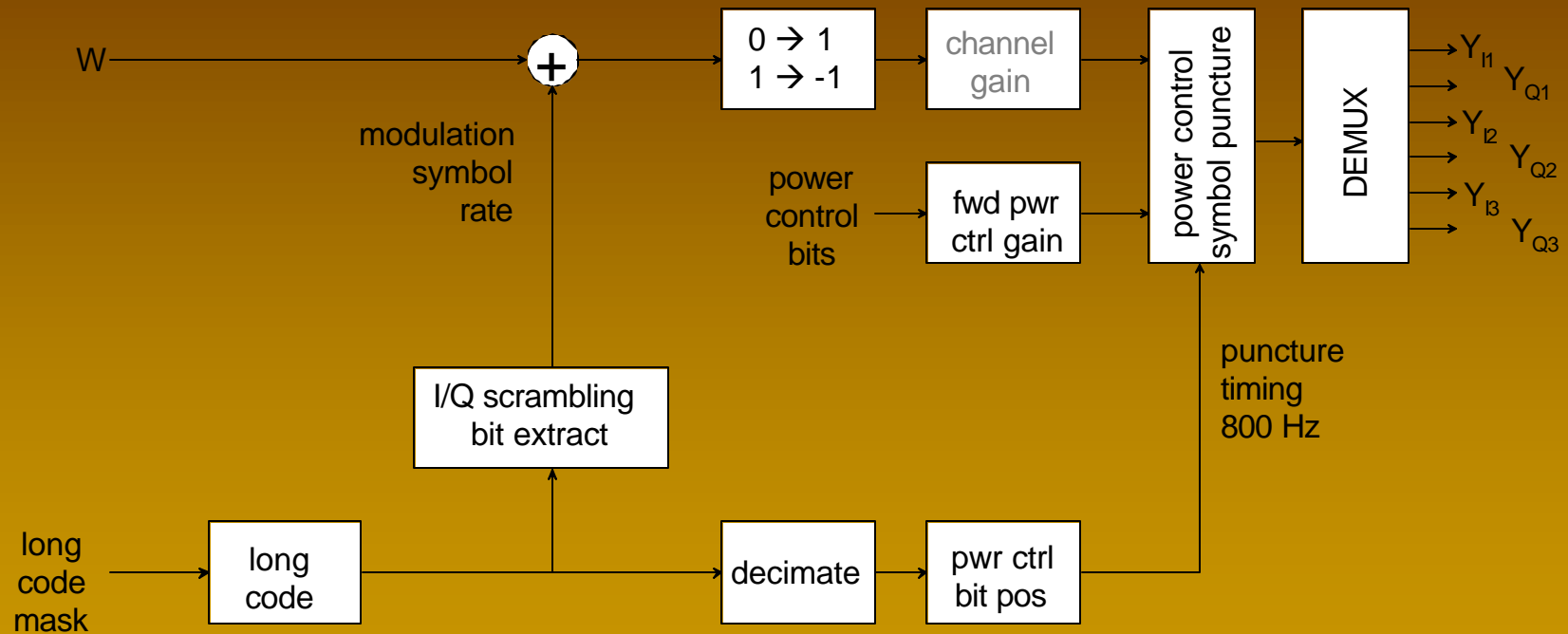
## Radio Configuration 9



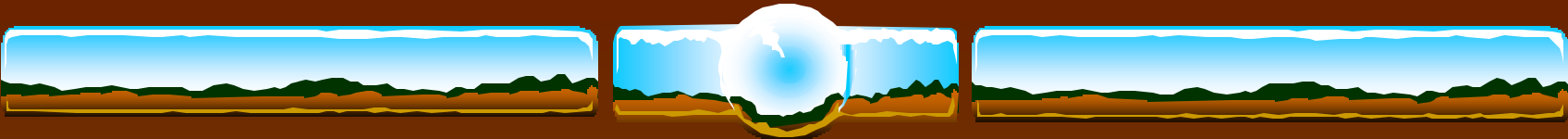
Bits/ Frame	CRC bits	tail bits	Data Rate kbps	Code Rate	Repeats	Delete	Symbols
21	6	8	1.8	1/2	8	none	576
55	8	8	3.6	1/2	4	none	576
125	10	8	7.2	1/2	2	none	576
267	12	8	14.4	1/2	1	none	576
552	16	8	28.8	1/2	1	none	1152
1128	16	8	57.6	1/2	1	none	2304
2280	16	8	115.2	1/2	1	none	4608
4584	16	8	230.4	1/2	1	none	9216
9192	16	8	460.8	1/2	1	none	18432
20712	16	8	1036.8	1/2	1	2 of 18	36864

Other similar tables in specification.

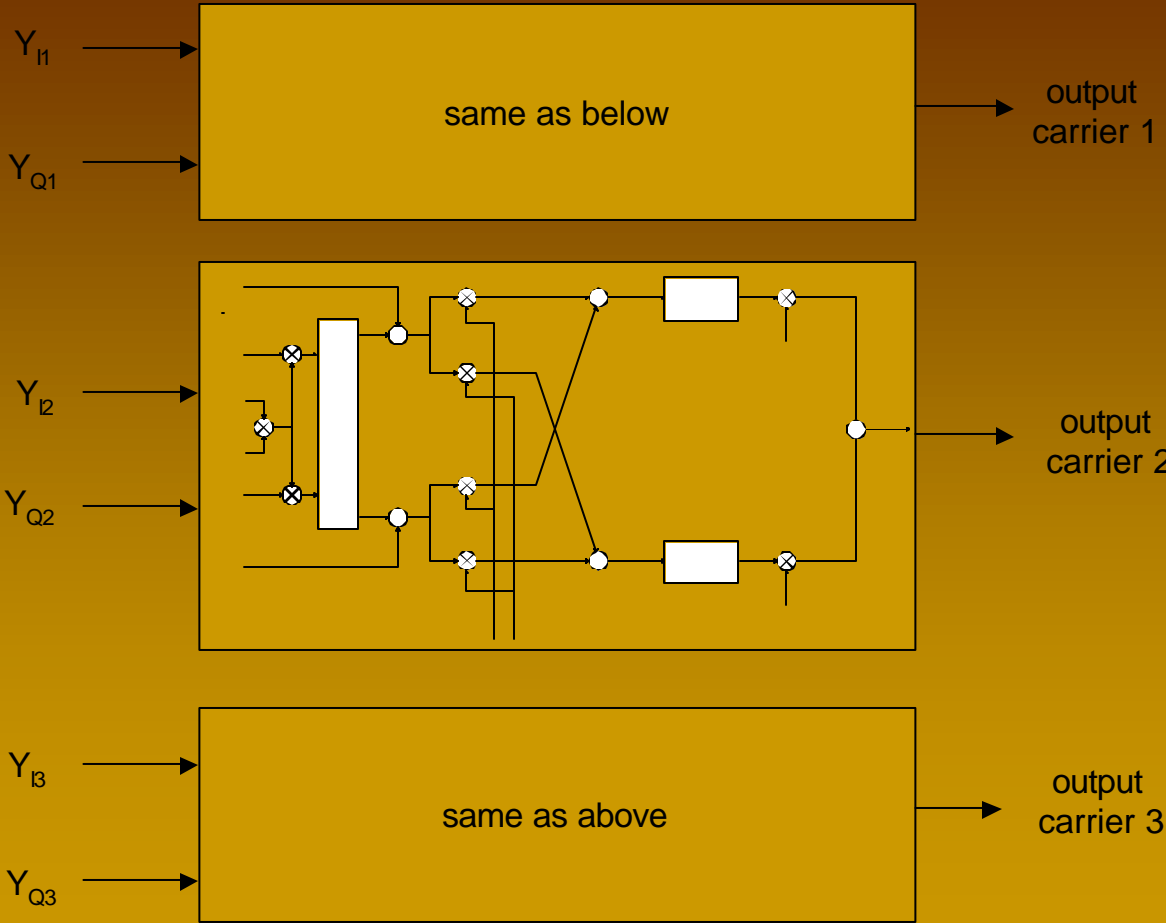
# cdma2000 3X DL Modulation Processing







# cdma2000 3X Downlink Modulation

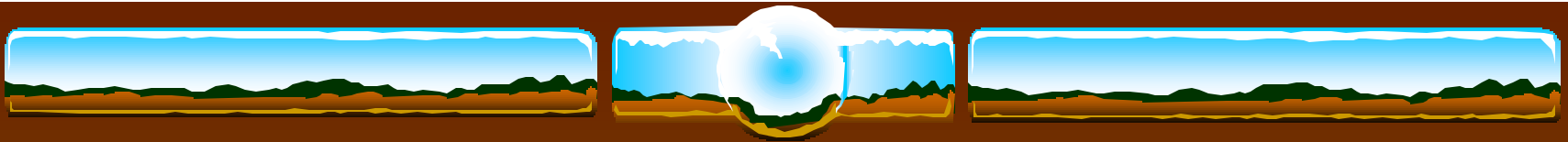




# cdma2000 Downlink Data Rates

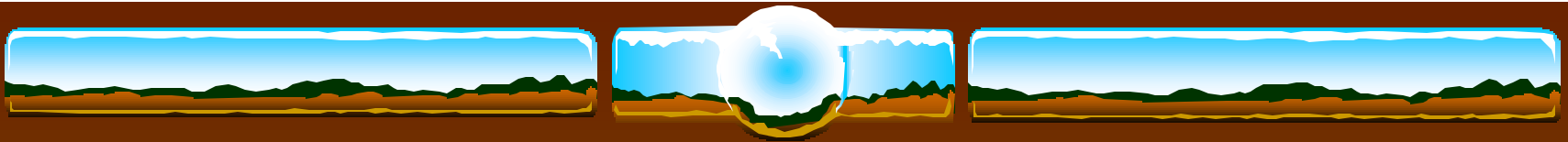
**Table 3.1.3.1-1. Radio Configuration Characteristics for the Forward Traffic Channel**

Radio Configuration	Associated Spreading Rate	Data Rates, Forward Error Correction, and General Characteristics
1	1	1200, 2400, 4800, and 9600 bps data rates with $R = 1/2$ , BPSK pre-spreading symbols
2	1	1800, 3600, 7200, and 14400 bps data rates with $R = 1/2$ , BPSK pre-spreading symbols
3	1	1200, 1350, 1500, 2400, 2700, 4800, 9600, 19200, 38400, 76800, and 153600 bps data rates with $R = 1/4$ , QPSK pre-spreading symbols, TD allowed
4	1	1200, 1350, 1500, 2400, 2700, 4800, 9600, 19200, 38400, 76800, 153600, and 307200 bps data rates with $R = 1/2$ , QPSK pre-spreading symbols, TD allowed
5	1	1800, 3600, 7200, 14400, 28800, 57600, 115200, and 230400 bps data rates with $R = 1/4$ , QPSK pre-spreading symbols, TD allowed
6	3	1200, 1350, 1500, 2400, 2700, 4800, 9600, 19200, 38400, 76800, 153600, and 307200 bps data rates with $R = 1/6$ , QPSK pre-spreading symbols.
7	3	1200, 1350, 1500, 2400, 2700, 4800, 9600, 19200, 38400, 76800, 153600, 307200, and 614400 bps data rates with $R = 1/3$ , QPSK pre-spreading symbols.
8	3	1800, 3600, 7200, 14400, 28800, 57600, 115200, 230400, and 460800 data rates with $R = 1/4$ (20 ms) or $1/3$ (5 ms), QPSK pre-spreading symbols.
9	3	1800, 3600, 7200, 14400, 28800, 57600, 115200, 230400, 259200, 460800, 518400, and 1036800 bps data rates with $R = 1/2$ (20 ms) or $1/3$ (5 ms), QPSK pre-spreading symbols.



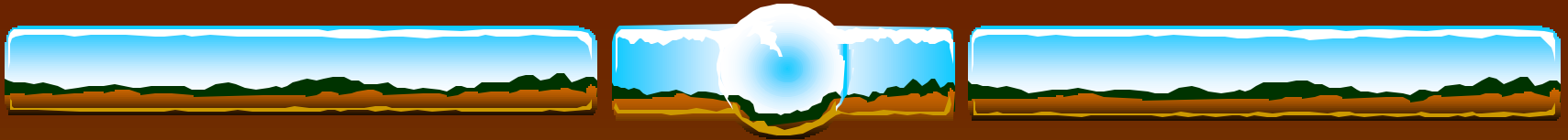
# cdma2000 vs WCDMA

- ❖ Chip rate
- ❖ Coherent Pilot Channels
- ❖ Transmit Diversity
- ❖ Underlying Network
- ❖ Single Carrier versus Multicarrier Spreading
- ❖ Cell Site Synchronization



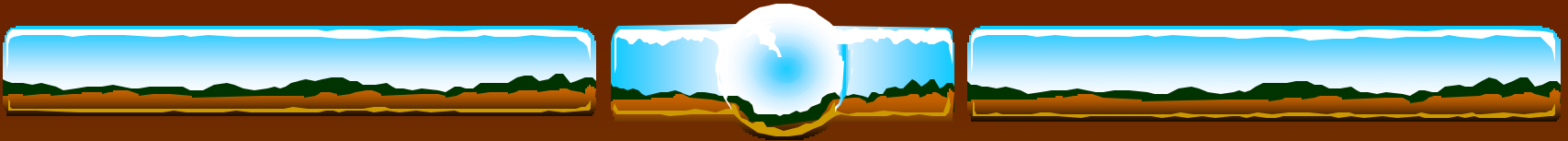
# References

- [1] R. L. Peterson, "Third Generation Personal Communications: Physical Layer Status," Presentation at Clemson University, Feb. 1, 2001
- [2] Manjit Singh and Manoneet Singh, "3G Wireless with Respect to IMT-2000 and Beyond," Telecom 99
- [3] Harri Holma and Antti Toskala, *WCDMA for UMTS: Radio Access for Third Generation Mobile Communications*, New York: Wiley, 2000
- [4] "CDMA Evolution from IS-95, IS-2000, to 1XTREME," Technology Transfer Training Class, Motorola, Inc., July 2000
- [5] R. Ziemer and R. Peterson, *Introduction to Digital Communications*, Upper Saddle River, NJ: Prentice Hall, Chapter 10, 2001



# WCDMA: More Information?

- ❖ <http://www.3gpp.org>
  - ❖ 21.101 → guide to all other documents
  - ❖ 25.XXX series → radio access network (RAN)
    - ❖ 25.211 → frame structure etc.
    - ❖ 25.212 → channel coding etc.
    - ❖ 25.213 → spreading and modulation
    - ❖ 25.214 → physical layer procedures (tx diversity, etc.)
    - ❖ 25.321 → medium access control (MAC)
    - ❖ 25.322 → radio link control (RLC)
  - ❖ 26.XXX series → voice coding



# GSM/GPRS/EDGE: More Information?

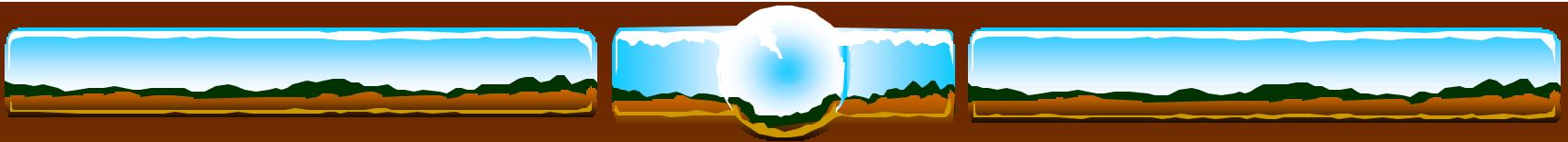
❖ <http://www.3gpp.org>

3GPP	ETSI	description
45.001	05.01	general description
45.002	05.02	multiple access, logical channels, etc
45.003	05.03	channel coding
45.004	05.04	modulation
45.005	05.05	radio transmission and channel models
45.008	05.08	radio link control
45.009	05.09	link adaptation
44.060	04.60	RLC/MAC



# cdma2000: More Information?

- ❖ <http://www.3gpp2.org>
  - ❖ Technical Specification Group C → cdma2000
  - ❖ C.S0002-A-1 → Physical Layer Standard
  - ❖ C.S0003-A-1 → Medium Access Control (MAC)
  - ❖ C.S0004-A-1 → Signaling Link Access Control
  - ❖ C.S00024 → 1XEV-DO (high speed packet)
  - ❖ C.S0005 → Upper Layer Signaling (L3)



# 3G Information Sources

- ❖ Third Generation Partnership Projects
  - ❖ <http://www.3gpp.org>
  - ❖ <http://www.3gpp2.org>
- ❖ CDMA Development Group (CDG)
  - ❖ <http://www.cdg.org>
- ❖ International Mobile Telecommunications for the year 2000
  - ❖ <http://www.tiaonline.org/standards/sfg/imt2k/>
- ❖ Japan ARIB IMT-2000 proposal
  - ❖ <http://www.arib.or.jp/IMT-2000/ARIB/Document/>